

# The CRM Infinity Project

2-year project, from September 1, 2009 to August 31, 2011

Supported by:

*Templeton Foundation*, Hyung Choi

*Centre de Recerca Matemàtica (CRM)*, Joaquim Bruna

Project participants:

*2 full-time postdocs*: Martin Koerwien (Model Theory)

Moritz Müller (Complexity Theory)

*About 20 one-two week visitors* (see below)

*Barcelona logicians*: Albert Atserias, Joan Bagaria,

Maria-Luisa Bonet, Enrique Casanovas, Rafel Farré, Ignasi Jané,

Juan-Carlos Martinez

*Infinity Conference Programme Committee*: John Baldwin,

Maria-Luisa Bonet, SDF, Juan-Carlos Martinez, Michael Rathjen

# The CRM Infinity Project: Idea of the Project

Combine different areas of logic to uncover new research directions

6 project themes:

## *Computations and Sets*

Arnold Beckmann, Sam Buss, Yijia Chen, Jörg Flum, Moritz Müller

## *Models and Sets*

John Baldwin, Fred Drueck, Rami Grossberg, Tapani Hyttinen,  
Martin Koerwien, Vadim Kulikov, Andrés Villaveces

## *Proofs and Sets*

Lars Kristiansen, Michael Rathjen, Albert Visser, Andreas  
Weiermann

# The CRM Infinity Project: Idea of the Project

## *Computations and Models*

Ekaterina Fokina, Julia Knight, Russell Miller, Antonio Montalbàn

## *Computations and Proofs*

Yijia Chen, Jörg Flum, Moritz Müller

## *History and Philosophy of Set Theory*

Tatiana Arrigoni, Loren Graham, Jean-Michel Kantor

# The CRM Infinity Project: Some Results

## *Computations and Sets*

Arnold Beckmann, Sam Buss, Yijia Chen, Jörg Flum, Moritz Müller

1. (Buss-Chen-Flum-SDF-Müller) Isomorphism relations on finite structures

In Descriptive Set Theory:

Let  $\mathcal{C}_0, \mathcal{C}_1$  be Borel,  $\simeq$ -closed classes of countable structures.

Then  $\simeq_{\mathcal{C}_0}$  is *Borel reducible* to  $\simeq_{\mathcal{C}_1}$  iff there is a Borel function  $F : \mathcal{C}_0 \rightarrow \mathcal{C}_1$  such that  $A \simeq B$  iff  $F(A) \simeq F(B)$

In Complexity Theory:

Let  $\mathcal{C}_0, \mathcal{C}_1$  be PTIME,  $\simeq$ -closed classes of finite structures.

Then  $\simeq_{\mathcal{C}_0}$  is *strong iso-reducible* to  $\simeq_{\mathcal{C}_1}$  iff there is a PTIME function  $F : \mathcal{C}_0 \rightarrow \mathcal{C}_1$  such that  $A \simeq B$  iff  $F(A) \simeq F(B)$

## The CRM Infinity Project: Some Results

*Fact:* If  $\mathcal{C}_0$  is strong iso-reducible to  $\mathcal{C}_1$  then  $\#\mathcal{C}_0$  is bounded by  $\#\mathcal{C}_1 \circ p$  for some polynomial  $p$ , where:  
 $\#\mathcal{C}(n) = \#$  isomorphism classes of models in  $\mathcal{C}$  of size  $\leq n$   
(We say that  $\mathcal{C}_0$  is *potentially reducible* to  $\mathcal{C}_1$ )

Results:

- (a) The countable atomless Boolean Algebra embeds into the degrees of strong iso-reducibility.
- (b) Assume  $\text{N2EXP} \cap \text{co-N2EXP} \neq \text{2EXP}$ . Then reducibility and potential reducibility are distinct.
- (c) Assume that reducibility and potential reducibility are distinct. Then  $\text{P} \neq \#\text{P}$ .

# The CRM Infinity Project: Some Results

## 2. (Beckmann-Buss-SDF) Polynomial-time Set Recursion

*When is a function  $F : V \rightarrow V$  computable in “polynomial time”?*

Bellantoni-Cook: Schemes for generating the PTIME functions on finite strings

We develop a set-theoretic analogue of the Bellantoni-Cook schemes, the Safe-Recursive Set Functions

See Arnold Beckmann's talk

## The CRM Infinity Project: Some Results

### 3. (Atserias-Müller) Forcing and Complexity Theory

Forcing in ZF or ZFC Set Theory: Cohen et.al.

Forcing in Bounded Arithmetic: Paris, Wilkie, Riis, Ajtai, Takeuti, Krajicek et.al.

*Is there a unifying framework for these forcing arguments?*

Yes, see Moritz Müller's talk

# The CRM Infinity Project: Some Results

## *Models and Sets*

John Baldwin, Fred Drueck, Rami Grossberg, Tapani Hyttinen, Martin Koerwien, Vadim Kulikov, Andrés Villaveces

## 4. (SDF-Hyttinen-Kulikov) Shelah classification and Higher Descriptive Set Theory

$T$  countable, complete, first-order theory

$T$  is *classifiable* iff there is a “structure theory” for its models

*Shelah's Characterisation (Main Gap)*:  $T$  is classifiable iff  $T$  is superstable without the OTOP and without the DOP

A classifiable  $T$  is *deep* iff it has the maximum number of models in all uncountable powers



# The CRM Infinity Project: Some Results

Another way of classifying theories: Descriptive Set Theory

$\text{Mod}_T^\omega =$  Models of  $T$  with universe  $\omega$

$\text{Isom}_T^\omega =$  The Equivalence Relation of Isomorphism on  $\text{Mod}_T$

Classify  $T$  according to the complexity of  $\text{Isom}_T^\omega$

Bad news: The complexity of  $\text{Isom}_T^\omega$  is not a good measure of the model-theoretic complexity of  $T$ :

Dense Linear Order is bad model-theoretically but  $\text{Isom}_T^\omega$  is trivial (Koerwien) There are very classifiable theories  $T$  such that  $\text{Isom}_T^\omega$  is not even Borel

## The CRM Infinity Project: Some Results

*Instead use  $\text{Isom}_T^\kappa$  for an uncountable  $\kappa$*

Results:

$T$  is classifiable and shallow (i.e. not deep) iff  $\text{Isom}_T^\kappa$  is “Borel”

$T$  is classifiable iff for all regular  $\lambda < \kappa$ ,  $\text{Isom}_T^\kappa$  is not “Borel above” equality modulo the  $\lambda$ -nonstationary ideal

(for appropriate  $\kappa$ )

## The CRM Infinity Project: Some Results

### 5. (Koerwien-Todorcevic) $\aleph_1$ -categoricity and Forcing Axioms

Shelah: A theory in  $L(Q)$  which is  $\aleph_1$ -categorical under MA (Martin's Axiom) but not under CH

There is a theory in  $L(Q)$  which is  $\aleph_1$ -categorical under PFA (Proper Forcing Axiom) but not under MA

The theory describes a partition of the reals into countable dense subsets

*Related question: Is  $\aleph_1$ -categoricity for  $L_{\omega_1\omega}$  absolute?*

See Martin Koerwien's talk

# The CRM Infinity Project: Some Results

## *Proofs and Sets*

Lars Kristiansen, Michael Rathjen, Albert Visser,  
Andreas Weiermann

### 6. Proof-theoretic analogues of generic reals

Example from set theory:

Suppose that  $(f, g)$  is generic over  $L$  for Cohen forcing  $\times$  Cohen forcing. Then neither  $f$  nor  $g$  is dominated by any real in  $L$ , but any real constructible in both  $f$  and  $g$  is constructible.

Analogue of this in proof theory?

## The CRM Infinity Project: Some Results

There are recursive functions  $f_0, f_1$  (with natural representations) such that any function provably-recursive in both  $\text{PA} + “f_0 \text{ is total}”$  and  $\text{PA} + “f_1 \text{ is total}”$  is PA-provably recursive

Proof uses an idea of Kristiansen to “split” the Hardy function  $H_{\epsilon_0}$

Visser later reproved this using Rosser tricks

# The CRM Infinity Project: Some Results

## 7. Slow consistency

For honest-recursive  $f$  define:

$\text{Con}_f^*$  : If  $f(y)$  is defined for all  $y \leq x$  then  $\text{Con}(\text{PA}_x)$

$\text{Con}_f^\#$  : If  $f(y)$  is defined for all  $y \leq x$  then  $\text{Con}_x(\text{PA})$

where  $\text{PA}_x$  is PA with only  $\Sigma_x$  induction and

$\text{Con}_x$  means consistency for proofs with Gödel  $\#$  at most  $x$

(a)  $\text{PA} < \text{PA} + \text{Con}_f^* \leq \text{PA} + \text{Con}(\text{PA})$

(b)  $\text{PA} \leq \text{PA} + \text{Con}_f^\# \leq \text{PA} + \text{Con}(\text{PA})$

(c) If  $f$  is the Paris-Harrington function then

$\text{PA} + \text{Con}_f^* < \text{PA} + \text{Con}(\text{PA})$  but PA proves  $\text{Con}_f^\#$

(c) uses the Solovay, Krajicek-Pudlak work on injecting inconsistencies as well as bounds on cut-elimination

## The CRM Infinity Project: Some Results

### 8. Proof-theoretic operators

In set theory, Steel showed that the definable “uniformly invariant” operators on the Turing degrees are wellordered with successor given by Turing jump

Is there an analogous result in proof theory, using Con as the jump operator? The answer is No:

There is a recursive  $F$  such that for  $T_0, T_1$  of the form  $\text{PA} + \varphi$ :

(a) If  $T_0 < T_1$  then  $T_0 < F(T_0, T_1) < T_1$ .

(b) If  $T_0, T'_0$  have the same theorems and  $T_1, T'_1$  have the same theorems then  $F(T_0, T_1)$  and  $F(T'_0, T'_1)$  have the same theorems.

The proof uses Feferman provability and Orey sentences.

It is not known if one can require  $F(T)$  to be  $\Pi_1^0$  for all  $T$

# The CRM Infinity Project: Some Results

## *Computations and Models*

Ekaterina Fokina, Julia Knight, Russell Miller, Antonio Montalbà

### 9. The computable model theory of the uncountable

A result of Fokina-SDF-Harizanov-Knight-McCoy-Montalban is that the isomorphism relation on computable graphs is as complex as any  $\Sigma_1^1$  equivalence relation on numbers. See Julia Knight's talk

We get the same result for computable structures on  $\omega_1$  assuming  $V = L$ :



## The CRM Infinity Project: Some Results

For any  $\Sigma_1^1$  equivalence relation  $E$  on  $\omega_1$  there is a uniformly  $\omega_1$ -computable sequence of graphs  $(M_\alpha \mid \alpha < \omega_1)$  (with universe  $\omega_1$ ) such that  $\alpha E \beta$  iff  $M_\alpha$  is isomorphic to  $M_\beta$

“Graphs” can be replaced by “fields” or “linear orders”.

We also obtained some results about  $\omega_1$ -computable categoricity of fields. For more on  $\omega_1$ -computable categoricity see Jesse Johnson’s talk.

Another perspective on  $\omega_1$ -computability (“local computability”) will be presented in Russell Miller’s talk

# The CRM Infinity Project: Some Results

## *Computations and Proofs*

Yijia Chen, Jörg Flum, Moritz Müller

### 10. Characterising consistency via algorithms

The theory  $T + \text{Con } T$  is not computationally stronger than  $T$  in the sense that any function provably total in this theory is already provably total in  $T$  (via some representation)

However is there some sense in which this theory can be characterised via its computational power? Chen-Flum-Müller show:

Suppose that  $P \neq NP$  and  $T$  is a strong enough theory of arithmetic. Then  $T + \text{Con } T$  is the least extension of  $T$  proving that some algorithm decides SAT as fast as any algorithm that  $T$ -provably decides SAT.

For more on this see Yijia Chen's talk

## The CRM Infinity Project: Some Results

### 11. Optimal proof systems and logics for PTIME.

An old question is whether there is a nice logic for PTIME on unordered finite structures.

A proof system for TAUT is a polytime function whose range is TAUT. It is optimal if it can simulate any other such proof system in polynomial time. Chen-Flum-Müller show:

There is an optimal proof system for TAUT iff the Blass-Gurevich logic captures PTIME

(It is conjectured that the equivalent statements above are false)

## The CRM Infinity Project: Some Results

### *History and Philosophy of Set Theory*

Tatiana Arrigoni, Loren Graham, Jean-Michel Kantor

Graham and Kantor wrote a fascinating book about the impact of religion on the development of the Moscow school of mathematics: *Naming Infinity*. In the Infinity Project they continued their work on the concept of “naming” with a special focus on Luzin and Grothendieck.

Tatiana and I brought my Inner Model Hypothesis into the current debate on the foundations of set theory. She will discuss this in her Infinity Conference talk

*What is the future of the Infinity Project?*

I'll discuss this on Friday