## The internal consistency of Easton's theorem

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Let Card denote the class of infinite cardinals and Reg the class of infinite regular cardinals. The continuum function on regulars is the function  $\kappa \mapsto 2^{\kappa}$ , defined on Reg. This function C has the following two properties:  $\alpha \leq \beta \rightarrow C(\alpha) \leq C(\beta)$  and  $\alpha < \operatorname{cof}(C(\alpha))$ . Easton [2] showed that, assuming GCH, any function  $F : \operatorname{Reg} \rightarrow \operatorname{Card}$  with these two properties (any "Easton function") is the continuum function on regulars of a cofinalitypreserving generic extension of the universe. We say that this generic extension "realises" the Easton function F. In particular, the statement " $2^{\kappa} = \kappa^{++}$ for all regular  $\kappa$ " is consistent, as by Easton's result it can be forced over Gödel's universe L.

The concept of *internal consistency* was introduced in [5], where one demands that consistency be witnessed in an *inner model*, under the assumption of large cardinals. The first result of this article is that any Easton function definable in L without parameters (or with parameters that are countable in  $L[0^{\#}]$ ) can be realised in an inner model of  $L[0^{\#}]$  with the same cofinalities as L. Thus the statement " $2^{\kappa} = \kappa^{++}$  for all regular  $\kappa$ " is not only consistent, but also internally consistent, under the assumption that  $0^{\#}$  exists. The proof of this result makes us of a technique of "generic modification".

One can also consider Easton functions which are L-definable using parameters which are not necessarily countable in  $L[0^{\#}]$ . We show that such

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functions can also be realised by inner models of  $L[0^{\#}]$  with the same cofinalities as L, provided these parameters are at most  $\omega_1^V$ . The proof uses a "generic stretching" technique to transfer a generic for a given product forcing to a larger one.

One cannot hope to realise an arbitrary *L*-definable Easton function with parameter  $\omega_2^V$  in an inner model, as  $2^{\omega} = \omega_2^V$  will fail in all inner models if CH holds in *V*. A reasonable conjecture would be that any *L*-definable Easton function *f* with parameter  $\omega_2^V$  satisfying  $f(\alpha) < \omega_2^V$  for countable  $\alpha \in \text{Reg}^L$ can be realised in an inner model of  $L[0^{\#}]$  with same cofinalities as *L*. We take a step in this direction by showing that in some inner model of  $L[0^{\#}]$ with same cofinalities as *L*,  $\omega_1^V$  is a strong limit cardinal and  $2^{\omega_1^V} = \omega_2^V$ . The proof uses a gap 1 morass.

#### Some preliminaries

We begin with some observations about I = the class of Silver indiscernibles, Skolem hulls and nice names.

The following is easily verified.

**Lemma 1** Let G be P-generic over L where P is a set in L and let X be a subclass of Ord. Let  $Hull^{L[G]}(X)$  denote the smallest elementary submodel of L[G] containing  $X \cup \{G\}$ . Then: (a)  $Hull^{L[G]}(X) = \{\tau(\vec{x})^G \mid x_j \in X \text{ for each } j, \tau \text{ an } L\text{-term}, \tau(\vec{x}) \text{ a } P\text{-name}\}.$ (b) If P belongs to  $L_i$  where  $i \in I$  then  $L_i[G] \prec L[G].$ 

Let  $\langle i_{\alpha} \mid \alpha \in Ord \rangle$  be the increasing enumeration of I and for each i in I let  $i^*$  denote the least indiscernible greater than i. For any  $\alpha$ ,  $i^{*\alpha}$  is the  $\alpha^{th}$  indiscernible greater than i.

**Lemma 2** Let *i* be an indiscernible,  $j = i^{*\omega}$  and let *G* be *P*-generic over *L* where  $P \in L_j$ . Then  $\bigcup_{n \in \omega} Hull^{L[G]}(i \cup \{i, i^*, \dots, i^{*n}\}) = L_j[G]$ 

*Proof.* Define  $X = \bigcup_{n \in \omega} \operatorname{Hull}^{L[G]}(i \cup \{i, i^*, \dots, i^{*n}\})$ . Let  $\sigma$  be a name in  $L_j^P$  for an element of  $L_j[G]$ . Then  $\sigma \in \operatorname{Hull}(i \cup \{i, i^*, \dots, i^{*n}\})$  for some n. Therefore  $\sigma^G \in X$ ; i.e., we have shown  $X \supseteq L_j[G]$ . Conversely,  $L_j[G]$  is an elementary submodel of L[G], so we have  $X \subseteq L_j[G]$ .  $\Box$ 

**Lemma 3** Let  $H_n = i^* \cap Hull^L(i \cup \{i, i^*, \dots, i^{*n}\})$ ,  $X_0 = H_0$  and  $X_{n+1} = H_{n+1} \setminus H_n$ . Then  $||X_n||^L = i$ .

Proof. For all n we have  $||X_n||^L \leq ||H_n||^L \leq i$ . For the reverse inequality we first show  $X_{n+1} \neq \emptyset$ . Let  $S_n = i \cup \{i, i^*, \dots, i^{*n}\}$ . We have  $L_{i^{*(n+1)}} \prec L$ , so  $Y_n \equiv \operatorname{Hull}^L(S_n) = \operatorname{Hull}^{L_{i^{*(n+1)}}}(S_n)$ . So  $Y_n$  belongs to  $Y_{n+1}$ . Also  $Y_n \cap i^{+L}$  is an ordinal  $\alpha_n < i^{+L}$  and  $\alpha_n$  is in  $Y_{n+1}$  but not in  $Y_n$ . So  $\alpha_n \in X_{n+1}$ .

Any  $\alpha_n + \beta$ ,  $\beta < i$ , belongs to  $H_{n+1}$  and thus we have *i*-many elements in  $X_{n+1}$ . So  $||X_{n+1}||^L = i$ . As  $X_0$  contains *i*, we also have  $||X_0||^L = i$ .  $\Box$ 

**Corollary 4** Let  $\alpha \in (i, i^{+L})$  and  $H_n = \alpha \cap Hull^L(i \cup \{i, i^*, \dots, i^{*n}\}), X_0 = H_0$  and  $X_{n+1} = H_{n+1} \setminus H_n$ . Then there exists  $m \in \omega$  such that (a) For all n < m:  $||X_n||^L = i$ . (b)  $||X_m||^L \le i$ . (c) For n > m:  $X_n = \emptyset$ .

*Proof.* From the previous proof we have:  $H_n = Y_n \cap \alpha = \alpha_n \cap \alpha$ . If  $\alpha_n < \alpha$ , then  $H_n = \alpha_n$  and  $||X_n||^L = i$ . If  $\alpha_n \ge \alpha$ , then  $H_n = \alpha$ . So we can choose m to be min $\{n \mid \alpha_n \ge \alpha\}$ . ( $\{n \mid \alpha_n \ge \alpha\}$  is not empty because  $\alpha < i^{+L}$ .)  $\Box$ 

**Corollary 5** Let  $\alpha \in [i^{+L}, i^*)$  and  $H_n = \alpha \cap (Hull^L(i \cup \{i, i^*, \dots, i^{*n}\}))$ ,  $X_0 = H_0$  and  $X_{n+1} = H_{n+1} \setminus H_n$ . Then  $||X_n||^L = i$ .

*Proof.* The interval  $[\alpha_n, \alpha_{n+1})$  from the proof of Lemma 3 is a subset of  $X_{n+1}$ .

A nice P-name is a P-name of the form  $\bigcup \{ \{ \alpha \} \times A_{\alpha} \mid \alpha \in S \}$ , where S is a set of ordinals and each  $A_{\alpha}$  is an antichain in P.

For a proof of the following, see [9], Lemma VII.5.12., page 208.

**Lemma 6** Let M be an inner model,  $P \in M$  a partial ordering,  $\sigma, \rho \in M^P$ . Then there is a nice P-name  $\xi \in M^P$  such that  $1^P \Vdash (\rho \subseteq \sigma \to \rho = \xi)$ .

Distributivity is important for the existence of generic sets for partial orderings. This is formulated in the next two lemmas.

**Lemma 7** Suppose that P is a forcing in L,  $||P||^L \leq i^*$  and P is  $i^{+L}$ distributive in L. Then there exists a P-generic over L in  $L[0^{\sharp}]$ . *Proof.* We may assume that P is a subset of  $i^*$ . Let  $\mathcal{D}$  be  $\{D \mid D \text{ is open dense}$ on  $P, D \in L\}$ . Write  $\mathcal{D}$  as  $\bigcup_{n \in \omega} X_n$ , where  $X_n = \text{Hull}(i \cup \{i, i^*, \dots, i^{*n}\}) \cap \mathcal{D}$ . Then  $X_n \in L$  and  $\|X_n\|^L \leq i$  for each n.

Now choose  $p_n$ ,  $n \in \omega$ , to meet all D in  $X_n$ ,  $p_{n+1} \leq p_n$ . Then  $G = \{p \mid p_n \leq p \text{ for some } n\}$  is P-generic. And the construction of G is possible in  $L[0^{\#}]$ .  $\Box$ 

**Corollary 8** Suppose  $H \in L[0^{\#}]$  is Q-generic over L for some  $Q \in L_{i^{*\omega}}$ , where *i* is an indiscernible. Let P be a forcing in L[H] such that  $||P||^{L[H]} < i^{*\omega}$ and P is  $i^{+L}$ -distributive in L[H]. Then there exists a P-generic over L[H]in  $L[0^{\#}]$ .

*Proof.* We can use the previous proof, using Lemma 2 to guarantee  $\mathcal{D} = \bigcup_{n \in \omega} X_n$ .  $\Box$ 

**Lemma 9** If P is constructible,  $||P||^L \leq \omega_1^V$ ,  $0^{\#}$  exists and P preserves  $\omega_1$  over V then there exists a P-generic over L.

*Proof.* [4], Theorem 1.  $\Box$ 

The next lemma explains why we cannot use a simple iterated forcing in this paper.

**Lemma 10** Suppose that  $P = Add^{L}(\omega_{1}^{L}, \omega_{3}^{L})$  and  $Q = Add^{L[G]}(\omega_{2}^{L}, \omega_{4}^{L})$ , with generics G and H, respectively. Then  $Card^{L[G][H]} \neq Card^{L}$ .

Proof. In L[G],  $2^{\omega_1^L} = \omega_3^L$ . Let  $H_1$  be the restriction of H to  $\operatorname{Add}^{L[G]}(\omega_2^L, 1)$ , a set generic for the latter forcing over L[G]. In  $L[G][H_1]$  there is a function f from  $\omega_2^L$  onto  $(2^{\omega_1^L})^{L[G]}$ , as any subset of  $\omega_1^L$  in L[G] gets "coded" into an interval of  $H_1$ . So in  $L[G][H_1] \subseteq L[G][H]$  there is a surjection from  $\omega_2^L$  onto  $\omega_3^L$ , showing that cardinals are not preserved by the forcing P \* Q.  $\Box$ 

The next lemma is standard.

**Lemma 11** Assume the forcing P is in the inner model M,  $\lambda$  is a regular cardinal in M and P is  $\lambda$ -distributive. Let G be P-generic over M and  $\mu$  a cardinal in M less then  $\lambda$ . Then  $\mathcal{P}(\mu)^M = \mathcal{P}(\mu)^{M[G]}$ .

**Lemma 12 (Jensen's Covering Theorem)** Suppose there is an uncountable set of ordinals which is not covered in L, i.e., not a subset of a constructible set of the same V-cardinality. Then  $0^{\#}$  exists.

**Lemma 13** Let M be an inner model, P and Q set-forcings in M and suppose that for some cardinal  $\lambda$  of M, P has the  $\lambda^+$ -c.c. and Q is  $\lambda^+$ -closed in M. If  $G = G_0 \times G_1$  is  $P \times Q$ -generic over M then  $\mathcal{P}(\lambda) \cap M[G] = \mathcal{P}(\lambda) \cap M[G_0]$ 

*Proof.* [7], proof of Lemma 15.19, page 234. (But note that [7] uses a different definition for "closed":  $\kappa^+$ -closed here is  $\kappa$ -closed in [7].)  $\Box$ 

**Lemma 14** Suppose that  $P = P_0 \times P_1$  where  $P_0$  and  $P_1$  are class forcings definable over the model M and  $P_0$ -forcing is definable.

If  $G_0$  is  $P_0$ -generic over M and  $G_1$  is  $P_1$ -generic over  $M[G_0]$  then  $G_0 \times G_1$ is P-generic over M

If G is P-generic over M, then  $G = G_0 \times G_1$  where  $G_0$  is  $P_0$ -generic over M and  $G_1$  is  $P_1$ -generic over  $M[G_0]$ .

*Proof.* [3], proof of Product Lemma 2.27, page 40.  $\Box$ 

**Lemma 15** Suppose that  $P = P_0 * P_1$  is a two-step iteration defined over the model M and  $P_0$ -forcing is definable.

If  $G_0$  is  $P_0$  generic over M and  $G_1$  is  $P_1$ -generic over  $M[G_0]$  then  $\{(p_0, \dot{p}_1) \mid p_0 \in G_0 \text{ and } (\dot{p}_1)^{G_0} \in G_1\}$  is P-generic over M.

If G is P-generic over M, then  $G = \{(p_0, \dot{p}_1) \mid p_0 \in G_0 \text{ and } (\dot{p}_1)^{G_0} \in G_1\},\$ where  $G_0$  is  $P_0$ -generic over M and  $G_1$  is  $P_1$ -generic over  $M[G_0]$ .

*Proof.* [3], proof of Product Lemma 2.30, page 44.  $\Box$ 

**Definition 16** A family A of sets is called a  $\Delta$ -system iff there is a fixed set r, called the root, such that  $a \cap b = r$  whenever a and b are distinct members of A.

**Lemma 17** Let  $\kappa$  be any infinite cardinal. Let  $\phi > \kappa$  be regular and satisfy  $\forall \alpha < \phi(\|\alpha^{<\kappa}\| < \phi)$ . Assume  $\|A\| \ge \phi$  and  $\forall x \in A(\|x\| < \kappa)$ . Then there is  $a B \subseteq A$  such that  $\|B\| = \phi$  and B forms a  $\Delta$ -system.

*Proof.* [9], proof of theorem II.1.6, page 49  $\Box$ 

We next discuss the type of iterated forcings we will use in this paper.

**Definition 18** Assume that M satisfies GCH and consider an M-definable sequence  $\langle P(\langle \beta) | \beta \leq \alpha \rangle$ , where  $\alpha \in Ord$  or  $\alpha = Ord$ , with the following properties:

- 1. P(<0) is the trivial forcing  $\{\emptyset\}$  and each  $P(<\beta)$  consists of functions  $p: \beta \to M$  in M.
- 2. For  $\gamma + 1 \leq \alpha$ ,  $P(\langle \gamma + 1) \simeq P(\langle \gamma) * P(\gamma)$  via the isomorphism  $p \to (p(\langle \gamma), p(\gamma))$ , where  $p(\langle \gamma) = p \restriction \gamma$ ,  $P(\langle \gamma)$  is a set in M and  $P(\langle \gamma) \Vdash P(\gamma)$  is a cofinality-preserving set-forcing.
- 3. We have a continuous increasing sequence  $\{c_{\gamma} \mid \gamma < \alpha\}$  of limit cardinals with the following properties:
  - (a)  $P(\langle \gamma) \Vdash P(\gamma)$  is  $c_{\gamma}$ -closed
  - (b)  $P(\gamma)$  is a  $P(\langle \gamma)$ -name of cardinality at most  $c_{\gamma+1}^+$ .
- 4. For a singular limit  $\lambda \leq \alpha$ :  $P(<\lambda) = Inverse-Limit \langle P(<\beta) | \beta < \lambda \rangle \equiv \{p : \lambda \to M | \forall \beta < \lambda \ (p(<\beta) \in P(<\beta))\}$ . This is ordered by:  $p \leq q \text{ iff } p(<\beta) \leq q(<\beta) \text{ for each } \beta < \lambda.$
- 5. For a regular limit  $\lambda \leq \alpha$ :  $P(\langle \lambda) = Direct-Limit \langle P(\langle \beta) | \beta \langle \lambda \rangle \equiv \{p : \lambda \to M \mid \exists \gamma \langle \lambda(p(\langle \gamma) \in P(\langle \gamma) \land \forall \beta \in [\gamma, \lambda), p(\beta) = 1^{P(\beta)})\}.$ The ordering is the same as in the previous case.

When  $\alpha = Ord$ , then  $P = Direct-Limit \langle P(\beta) | \beta \in Ord \rangle$ .

We also define:

 $P(\leq \beta) = P(<\beta + 1)$   $P[\beta, \gamma) \equiv \text{the iterated forcing in } M^{P(<\beta)} \text{ which starts from } P(\beta) \text{ and has}$   $length \gamma - \beta$   $P(\geq \beta) \equiv P[\beta, \alpha)$   $P(\beta, \gamma) \equiv P[\beta + 1, \gamma)$  $P(>\beta) \equiv P(\geq (\beta + 1))$ 

For this iteration we have the Factoring property:

**Lemma 19**  $P(<\beta)$  preserves cofinalities and for any  $\alpha < \beta$ ,  $P(<\beta)$  is isomorphic to  $P(<\alpha) * P[\alpha, \beta)$ .

*Proof.* This is similar to [3], Lemma 2.34, page 46.

By induction on  $\beta$ . The result is trivial for  $\beta = 0$ . If  $\beta = \gamma + 1 \ge \alpha$ and we have defined the isomorphism  $\theta : P(<\gamma) \simeq P(<\alpha) * P[\alpha,\gamma)$ , then  $P(\le \gamma) \simeq P(<\gamma) * P(\gamma) \simeq (P(<\alpha) * P[\alpha,\gamma)) * P(\gamma) \simeq P(<\alpha) * (P[\alpha,\gamma) * P(\gamma)) \simeq P(<\alpha) * P[\alpha,\gamma+1)$ . As by induction  $P(<\gamma)$  preserves cofinalities and by hypothesis  $P(<\gamma) \Vdash P(\gamma)$  preserves cofinalities it follows that  $P(\le \gamma) = P(<\beta)$  preserves cofinalities too.

Suppose that  $\beta$  is a limit. By induction  $P(\langle \gamma)$  is canonically isomorphic to  $P(\langle \alpha) * P[\alpha, \gamma)$  for  $\gamma < \beta$ . It follows that  $P(\langle \beta)$  is canonically isomorphic to  $P(\langle \alpha) * P[\alpha, \beta)$  provided we know that  $P(\langle \alpha)$  preserves the regularity of  $\beta$  (in case  $\beta$  is regular). The latter follows from the fact that by induction,  $P(\langle \alpha)$  preserves cofinalities. Finally, we show that  $P(\langle \beta)$  preserves cofinalities. Suppose that  $\gamma$  is a regular cardinal; we show that  $P(\langle \beta)$  preserves "cofinality greater than  $\gamma$ " (cof  $> \gamma$ ). If  $\gamma$  is less than  $c_{\beta}$  then factor  $P(\langle \beta)$  as  $P(\langle \alpha) * P[\alpha, \beta)$  where  $\gamma$  is less than  $c_{\alpha}$ . By induction,  $P(\langle \alpha)$  preserves cof  $> \gamma$  and by definition  $P[\alpha, \beta)$  is  $c_{\alpha}$ -closed and therefore  $\gamma^+$ -closed; it follows that  $P(\langle \beta)$  preserves cof  $> \gamma$ . If  $\gamma$  is greater than  $c_{\beta}$  then as  $P(\langle \beta)$  has a dense subset of cardinality  $c_{\beta}^+$ ,  $P(\langle \beta)$  preserves cof  $> \gamma$ . Finally if  $\gamma$  equals  $c_{\beta}$  then  $P(\langle \beta)$  has a dense subset of cardinality  $c_{\beta} = \gamma$ , so again  $P(\langle \beta)$  preserves cof  $> \gamma$ .  $\Box$ 

**Lemma 20** *P* preserves cofinalities and for any  $\alpha \in Ord$  is isomorphic to  $P(<\alpha) * P(\geq \alpha)$ .

*Proof.* For  $\beta = \infty$ , we can use the same argument as for regular  $\beta$  in the previous proof. For smaller  $\beta$ , we have the previous proof.  $\Box$ 

**Lemma 21** Let P be the direct limit of an iterated forcing over M as above, M a model of ZFC, and let G be P-generic. Then  $M[G] \models ZFC$ .

*Proof.* See [3]. From the comment on page 47 we know, P is *tame*. Then we can use Lemma 2.21, page 36.  $\Box$ 

**Definition 22** Let *E* be a subset of Ord and  $\mathcal{P} = \{P_{\alpha} \mid \alpha \in E\}$  a family of posets. The Easton product  $\prod_{\alpha \in E}^{Easton} P_{\alpha}$  of  $\mathcal{P}$  consists of all  $\langle p_{\alpha} \mid \alpha \in E \rangle$  in  $\prod_{\alpha \in E} P_{\alpha}$  such that for all  $\kappa \in \text{Reg there is a } \beta < \kappa \text{ such that } \alpha \in (\beta, \kappa) \cap E \rightarrow p_{\alpha} = 1^{P_{\alpha}}$ .

# 1 Easton functions with countable parameters

**Definition 23** An L-definable  $f : \operatorname{Reg}^{L} \to \operatorname{Card}^{L}$  is an Easton function (for L) iff on its domain,  $\operatorname{cof}^{L}(f(\kappa)) > \kappa$  and  $\mu < \lambda \to f(\mu) \leq f(\lambda)$ .

We first show:

**Theorem 24** Take any L-definable (without parameters) Easton function  $f : \operatorname{Reg}^{L} \to \operatorname{Card}^{L}$ . There is an inner model M of  $L[0^{\#}]$  with the same cofinalities as L in which  $2^{\kappa} = f(\kappa)$  for all  $\kappa \in \operatorname{Reg}^{L}$ .

We begin with some lemmas:

**Lemma 25** Let M be an inner model with the same cofinalities as L,  $\kappa$ a successor of a regular cardinal  $\mu$  in M and assume  $\lambda < \mu \rightarrow (\mu^{\lambda})^{M} =$  $\mu$ . Let  $f : Reg^{L} \rightarrow Card^{L}$  be an L-definable Easton function and P = $\prod_{\lambda < \kappa, \lambda \in Reg^{L}}^{Easton} Add(\lambda, f(\lambda))$  in M. Then P is  $\kappa$ -c.c. in M.

Proof. Each a in P is a function from an "Easton subset" of  $\{\lambda < \kappa \mid \lambda \in Reg^L\}$  which assigns to each  $\lambda$  in its domain a condition in Add $(\lambda, f(\lambda))$ , i.e., a function from a subset of  $\lambda \times f(\lambda)$  of cardinality less than  $\lambda$  into 2. For each such a let Dom<sup>\*</sup>(a) denote the set of triples  $(\lambda, i, j)$  such that  $\lambda$  is in the domain of a and (i, j) is in the domain of  $a(\lambda)$ . Note that Dom<sup>\*</sup>(a) is a set of cardinality less than  $\mu$ .

Now suppose  $A = \{a_{\beta} \mid \beta < \kappa\} \in M$  were an antichain in P of cardinality  $\kappa$  (where the  $a_{\beta}$ 's are distinct). Then apply Lemma 17 to the Dom<sup>\*</sup> $(a_{\beta})$ 's (where  $\kappa$  is the current  $\mu$ ,  $\phi$  is the current  $\kappa$  and A is  $\{\text{Dom}^*(a) \mid a \in A\}$ ; here we use the assumption  $(\mu^{\lambda})^M = \mu$  for  $\lambda < \mu$ ). Let  $B \subseteq \{\text{Dom}^*(a) \mid a \in A\}$  have cardinality  $\kappa$  and core b. Then  $\|b\|^M < \mu$  because for all  $a \in A$ ,  $\|\text{Dom}^*(a)\| < \mu$ . The number of functions from b to 2 is at most  $2^{\|b\|} \leq \mu^{\|b\|} = \mu < \kappa$ , so there are distinct  $x, y \in A$  which are compatible (because  $\text{Dom}^*(x) \cap \text{Dom}^*(y) = b$  and x, y agree on b). This contradicts the assumption that A is an antichain.  $\Box$ 

**Definition 26** Let  $\alpha$ ,  $\beta$ ,  $\gamma$  be ordinals,  $\alpha < \beta$  and  $i_{\gamma}$  the  $\gamma$ <sup>th</sup> Silver indiscernible. Define:

 $\pi_{i_{\alpha},i_{\beta}}(i_{\gamma}) = i_{\gamma} \text{ for } \gamma < \alpha$  $\pi_{i_{\alpha},i_{\beta}}(i_{\alpha+\delta}) = i_{\beta+\delta}.$ 

 $\pi_{i_{\alpha},i_{\beta}}$  extends uniquely to an elementary embedding  $L \to L$ , which we also denote by  $\pi_{i_{\alpha},i_{\beta}}$ .

**Lemma 27** Suppose i < j belong to I. If  $x \in L$ ,  $||x||^L = j$  then  $x \cap Rng(\pi_{ij})$  belongs to L,  $\pi_{ij}^{-1} \upharpoonright (x \cap Rng(\pi_{ij}))$  belongs to L and  $x \cap Rng(\pi_{ij})$  has L-cardinality at most i.

*Proof.* Note that  $\operatorname{Rng}(\pi_{ij}) = \operatorname{Hull}(i \cup I(\geq j))$ , an elementary submodel of L.

First suppose that x = j. Then  $x \cap \operatorname{Rng}(\pi_{ij}) = j \cap \operatorname{Rng}(\pi_{ij}) = i \in L$ ,  $\pi_{ij}^{-1} \upharpoonright (x \cap \operatorname{Rng}(\pi_{ij})) = \pi_{ij}^{-1} \upharpoonright i = id_i \in L$ .

Now write  $x = \tau(\vec{\alpha}, \vec{\beta}, j, \vec{\gamma})$  where  $\vec{\alpha} < i \le \vec{\beta} < j < \vec{\gamma}$  are in I.

Suppose  $\vec{\beta} = \emptyset$ , an hypothesis equivalent to  $x \in \operatorname{Rng}(\pi_{ij})$ . Let  $\phi$  be a bijection between x and  $||x||^L = j$ . As  $\operatorname{Rng}(\pi_{ij})$  is an elementary submodel of L, we can choose  $\phi \in \operatorname{Rng}(\pi_{ij})$ . Then for  $y \in x$ , we have  $y \in \operatorname{Rng}(\pi_{ij})$  iff  $\phi(y) \in \operatorname{Rng}(\pi_{ij})$ . So:

$$x \cap \operatorname{Rng}(\pi_{ij}) = \phi^{-1}[j \cap \operatorname{Rng}(\pi_{ij})] = \phi^{-1}[i] \in L.$$

For the second property, we use  $\psi = \pi_{ij}^{-1}(\phi)$ .  $\psi$  is a bijection between  $\pi_{ij}^{-1}[x]$ and *i*. Let  $y \in x \cap \operatorname{Rng}(\pi_{ij})$ . From  $\psi(y) = \pi_{ij}(\psi(y)) = (\pi_{ij}(\psi))(\pi_{ij}(y)) = \phi(\pi_{ij}(y))$  we have  $\pi_{ij}(y) = \phi^{-1}(\psi(y))$ . So  $\pi_{ij}^{-1} \upharpoonright (x \cap \operatorname{Rng}(\pi_{ij}))$  is a composition of two functions in *L* and therefore belongs to *L*.

Now suppose  $\vec{\beta} \neq \emptyset$ . Define  $x^* = \bigcup \{\tau(\vec{\delta}, j, \vec{\gamma}) \mid \vec{\delta} < j \land \vec{\delta} \in \operatorname{Ord}^{<\omega} \land \|\tau(\vec{\delta}, j, \vec{\gamma})\|^L = j\}$ . Then  $x \subseteq x^*$ ,  $\|x^*\|^L = j$  and  $x^* \in \operatorname{Rng}(\pi_{ij})$ . So by the above,  $x^* \cap \operatorname{Rng}(\pi_{ij}) \in L$ . We then have:  $x \cap \operatorname{Rng}(\pi_{ij}) = x \cap (x^* \cap \operatorname{Rng}(\pi_{ij})) \in L$  and  $\pi_{ij}^{-1} \upharpoonright (x \cap \operatorname{Rng}(\pi_{ij})) = \pi_{ij}^{-1} \upharpoonright (x^* \cap \operatorname{Rng}(\pi_{ij})) \upharpoonright x \in L$ , as desired. The statement about *L*-cardinality is implicit in the above.  $\Box$ 

**Lemma 28** Take any L-definable Easton function  $f : Reg^L \to Card^L$ . Let M be an inner model such that  $Card^{L} = Card^{M}$  and GCH holds in M for cardinals in  $[\delta_1, \delta_2)$ , where  $\delta_1 < \delta_2$  are regular in M. Let P be the forcing  $\prod_{\kappa \in [\delta_1, \delta_2) \cap Reg^L}^{Easton} Add(\kappa, f(\kappa))$  in M. Also assume  $\kappa \in Reg^L \cap \delta_2 \to f(\kappa) \leq \delta_2$ and  $\kappa \in \operatorname{Reg}^{L} \cap \delta_{1} \to 2^{\kappa} \leq \delta_{1}$ . Let G be P-generic over M. Then  $(2^{\kappa})^{M[G]} = f(\kappa)$  for all  $\kappa \in \operatorname{Reg}^{L} \cap [\delta_{1}, \delta_{2}), \ (2^{\kappa})^{M[G]} = (2^{\kappa})^{M}$  for

 $\kappa \in Reg^L \setminus [\delta_1, \delta_2)$  and G preserve cofinalities.

*Proof.* Let  $\kappa$  be a regular cardinal in L from  $[\delta_1, \delta_2)$  which is either  $\delta_1$  or the successor of a regular cardinal.  $P \simeq P(<\kappa) \times P(\geq \kappa)$ . By Lemma 14 we have  $G(<\kappa)$  and  $G(\geq\kappa)$  such that  $M[G] = M[G(<\kappa)][G(\geq\kappa)]$ .  $P(\geq\kappa)^M$ is  $\kappa$ -closed in M. By Lemma 25,  $P(<\kappa)$  is  $\kappa$ -c.c. in M ( $\kappa = \delta_1$  is a trivial case). From this we have:  $M \vDash \operatorname{cof} (\alpha) \ge \kappa \to M[G(<\kappa)] \vDash \operatorname{cof} (\alpha) \ge \kappa \to M[G(<\kappa)]$  $M[G(<\kappa)][G(>\kappa)] \models cof(\alpha) > \kappa$  for successors of regular cardinals  $\kappa$ , and therefore for all regular cardinals. So G preserves all cofinalities.

We want  $M[G] \models 2^{\kappa} = f(\kappa)$  for regular  $\kappa \in [\delta_1, \delta_2)$  and  $(2^{\kappa})^M = (2^{\kappa})^{M[G]}$ for other regular  $\kappa$ . For  $\kappa < \delta_1$ : P is  $\delta_1$ -closed in M, so by Lemma 11 we have  $\mathcal{P}(\kappa)^M = \mathcal{P}(\kappa)^{M[G]}$ . P preserves cardinalities, so  $(2^{\kappa})^M = (2^{\kappa})^{M[G]}$ . For  $\kappa \geq \delta_2$ :  $\|P\|^M \leq \delta_2$ , so we have at most  $(2^{\delta_2})^{\kappa} = 2^{\kappa}$  nice names for subsets of  $\kappa$ . So  $\|2^{\kappa}\|^M = \|2^{\kappa}\|^{M[G]}$ .

Suppose  $\kappa \in [\delta_1, \delta_2)$ ,  $\kappa$  regular in M. We want  $2^{\kappa} \geq f(\kappa)$  and  $2^{\kappa} \leq f(\kappa)$ .

In M[G] we have a generic for  $\operatorname{Add}^M(\kappa, f(\kappa))$ . So in M[G] we have  $2^{\kappa} \geq f(\kappa)$ . For the other direction we use  $P(<\kappa^+)$  and  $P(\geq \kappa^+)$ .  $P(<\kappa^+)$ is  $\kappa^+$ -c.c. and  $P(\geq \kappa^+)$  is  $\kappa^+$ -closed. Let  $\lambda$  denote  $\kappa^+$ . Then  $\lambda \leq f(\kappa)$  and  $||P(<\lambda)||^M = f(\kappa)$ . So the number of antichains in  $P(<\lambda)$  is  $f(\kappa)^{<\lambda}$  and therefore we have only  $(f(\kappa)^{<\lambda})^{\kappa} = f(\kappa)$  nice names for subsets of  $\kappa$ . From Lemma 13 we have  $\mathcal{P}(\kappa)^{M[G(<\kappa^+)]} = \mathcal{P}(\kappa)^{M[G]}$ . So  $M[G] \models ||\mathcal{P}(\kappa)|| = 2^{\kappa} \leq 1$  $f(\kappa)$ .  $\Box$ 

We turn now to the proof of the theorem.

Proof of theorem 24. We want to use reverse Easton forcing. But we cannot use iteration for f-crossings ( $\kappa < \lambda < f(\kappa) < f(\lambda)$ ), because Add( $\kappa, f(\kappa)$ ) \*  $Add(\lambda, f(\lambda))$  collapses  $f(\kappa)$  to  $\lambda$  (see the example in Lemma 10). So, we split the ordinals into the intervals determined by the closure points of f and take an Easton product within those intervals. Then we join these product forcings together to one iterated forcing.

Let C be  $\{\alpha \in \operatorname{Card}^L \mid \omega \leq \alpha \land (\kappa \in \operatorname{Reg}^L \cap \alpha \to f(\kappa) < \alpha)\}$ . We know that  $I \subseteq C \subseteq \operatorname{LimCard}^L$ , because f is L-definable without parameters and  $L_i \prec L$  for  $i \in I$ . Let  $\{c_\alpha\}$  be the increasing enumeration of C. Then  $c_0 = \omega$ and  $c_i = i$  for  $i \in I$ .

We define P:

1. For each  $\beta$ ,  $P(\beta) = \prod_{\alpha \in [c_{\beta}, c_{\beta+1}) \cap \operatorname{Reg}^{L}}^{Easton} \operatorname{Add}(\alpha, f(\alpha))$  over  $L^{P(<\beta)}$ .

The rest is determined by Definition 18:

- 2.  $P(<0) = \{\emptyset\}, P(<(\alpha+1)) = P(\le \alpha)$
- 3. For regular  $\lambda$  in L,  $P(\langle \lambda) = \text{Direct-Limit } \{P(\leq \alpha) | \alpha < \lambda\}$
- 4. For singular  $\lambda$  in L,  $P(<\lambda) =$  Inverse-Limit  $\{P(\leq \alpha) | \alpha < \lambda\}$
- 5.  $P(\leq \alpha) = P(<\alpha) * P(\alpha)$
- 6.  $P = \text{Direct-Limit} \{ P(\leq \alpha) \mid \alpha \in Ord \}$

Because this definition fulfills definition 18, we can use Lemmas 19 and 21. For each  $\alpha$  we have  $P \simeq P(<\alpha) * P(\geq \alpha)$ .

Our goal is to show that P preserves cofinalities, P forces  $2^{\kappa} = f(\kappa)$  for all  $\kappa \in \operatorname{Reg}^{L}$  and that there exists a P-generic over L in  $V = L[0^{\#}]$ .

#### Cofinality preservation

By Lemma 20 it suffices to verify that for each  $\alpha$ ,  $P(<\alpha) \Vdash P(\alpha)$  preserves cofinalities. This follows from Lemma 28, setting  $\delta_1 = c_{\alpha}$  if  $c_{\alpha}$  is *L*-regular,  $\delta_1 = c_{\alpha}^{+L}$  if  $c_{\alpha}$  is *L*-singular and  $\delta_2 = c_{\alpha+1}^{+L}$ .

#### f is realised

We want:  $2^{\kappa} = f(\kappa)$  for each *L*-regular  $\kappa$ . Write  $P \simeq P(<\alpha) * P(\alpha) * P(>\alpha)$  where  $\kappa$  belongs to the interval  $[c_{\alpha}, c_{\alpha+1})$ . Then  $P(<\alpha)$  has cardinality

at most  $\kappa^+$ ,  $P(\alpha)$  adds exactly  $f(\kappa)$  subsets if  $\kappa$  by Lemma 28 and  $P(>\alpha)$  is  $\kappa^+$ -closed. It follows that  $P \Vdash 2^{\kappa} = f(\kappa)$ .

#### A P-generic class

We build a *P*-generic *H* definably in  $L[0^{\#}]$ . By induction on  $i \in I$  we define a  $P(\leq i)$ -generic  $H(\leq i)$  in  $L[0^{\#}]$  with the property that for j < k in *I*, the generics  $H_0(j)$ ,  $H_0(k)$  "fit together", where  $H_0(j)$  is the restriction of  $H(j) \subseteq P(j) = \prod_{\lambda \in [c_j, c_{j+1}) \cap \operatorname{Reg}^L} \operatorname{Add}(\lambda, f(\lambda))$  to  $P_0(j) = \operatorname{Add}(j, f(j))$ .

To make this precise, extend the  $\pi_{ij}$  of Definition 26 to an embedding  $\tilde{\pi}_{ij}$  :  $L[H(\langle i \rangle] \to L[H(\langle j \rangle]]$  by  $\tilde{\pi}_{ij}(\sigma^{H(\langle i \rangle)}) = \pi_{ij}(\sigma)^{H(\langle j \rangle)}$ .  $\tilde{\pi}_{ij}$  is a well-defined elementary embedding:

$$\begin{split} L[H(< i)] &\vDash \psi(\sigma_1^{H(< i)}, \dots, \sigma_n^{H(< i)}) \to \\ \exists p \in H(< i) \ (p \quad \Vdash \quad \psi(\sigma_1, \dots, \sigma_n)) \to \\ \exists p \in H(< i) \ (\pi_{ij}(p) \quad \Vdash \quad \psi(\pi_{ij}(\sigma_1), \dots, \pi_{ij}(\sigma_n))) \to \\ L[H(< j)] &\vDash \ \psi(\pi_{ij}(\sigma_1)^{H(< j)}, \dots, \pi_{ij}(\sigma_n)^{H(< j)})) \to \\ L[H(< j)] &\vDash \ \psi(\tilde{\pi}_{ij}(\sigma_1^{H(< j)}, \dots, \tilde{\pi}_{ij}(\sigma_n^{H(< j)}))). \end{split}$$

In the third implication we use  $\pi_{ij}[H(\langle i)] = H(\langle i) \subseteq H(\langle j)$ . The above implications are in fact equivalences, as they also hold for  $\neg \psi$ .  $\tilde{\pi}_{ij}$ also extends  $\pi_{ij}$ , as for  $x \in L$ , if  $y = \pi_{ij}(x)$ , then:  $\tilde{\pi}_{ij}(x) = \tilde{\pi}_{ij}((\hat{x})^{H(\langle i)}) =$  $(\pi_{ij}(\hat{x}))^{H(\langle j)} = (\hat{y})^{H(\langle j)} = y = \pi_{ij}(x)$ , where  $\hat{x} = \{(\hat{z}, 1) \mid z \in x\}$  is the canonical name for x.

For indiscernibles i < j < k we have  $\tilde{\pi}_{jk} \circ \tilde{\pi}_{ij} = \tilde{\pi}_{ik}$ . This follows from the definition of  $\tilde{\pi}_{ij}$  and  $\pi_{jk} \circ \pi_{ij} = \pi_{ik}$ . And Lemma 27 also holds for  $\tilde{\pi}_{ij}$ (the same proof works, using Lemma 1).

Now we construct  $H(\leq i)$  by induction on  $i \in L$  so that:  $j, k \in I$ ,  $j < k \rightarrow \tilde{\pi}_{jk}[H_0(j)] \subseteq H_0(k).$ 

We start the induction from  $P(\leq i_0)$ . As the set of constructible dense subsets of this forcing is countable in  $L[0^{\#}]$ , we may choose a generic  $H(\leq i_0)$ for it. Suppose that  $H(\leq i)$  has been defined, and we now wish to define  $H(\leq i^*)$ , where  $i^*$  is the least indiscernible greater than i. Write  $P(\leq i^*) \simeq P(\leq i) * P(i, i^*]$ ; we want to choose a generic for  $P(i, i^*]^{H(\leq i)}$ . The latter forcing is  $i^+$ -closed and has cardinality  $f(i^*) < i^{**}$ ; it follows from Corollary 8 that we may choose a generic  $H(i, i^*]$  for it in  $L[0^{\#}]$ . However we must ensure that  $\tilde{\pi}_{ii^*}[H_0(i)] \subseteq H_0(i^*)$ , where  $H_0(i^*)$  is the restriction of  $H(i^*)$  to  $P_0(i^*) = \operatorname{Add}(i^*, f(i^*))$  (of  $L[H(< i^*)]$ ). This will be guaranteed by modifying  $H_0(i^*)$ . Note that  $H(i^*)$  can be written as  $H_0(i^*) \times H_1(i^*)$ , where  $H_1(i^*)$  is generic over  $L[H(< i^*)]$  for  $P_1(i^*) = \prod_{\alpha \in (i^*, c_{i^*+1}) \cap \operatorname{Reg}^L} \operatorname{Add}(\alpha, f(\alpha))$ , and for any  $\operatorname{Add}(i^*, f(i^*))$ -generic  $H_0^*(i^*)$ , the product  $H_0^*(i^*) \times H_1(i^*)$  will still be generic for  $P_0(i^*) \times P_1(i^*)$ , as  $P_0(i^*)$  has the  $(i^*)^+$ -c.c. and  $P_1(i^*)$  is  $(i^*)^*$ -closed. So to define the desired  $H(i^*)$ , it suffices to find any  $P_0(i^*)$ -generic  $H_0^*(i^*)$ .

Suppose that p is a condition in  $P_0(i^*) = \text{Add}(i^*, f(i^*))$ . Define a new condition  $\Psi(p)$  as follows:

 $\Psi(p)(w^*) = p(w^*) \text{ if } w^* \in \text{Dom}(p) \land w^* \notin \text{Rng}(\tilde{\pi}_{ii^*}),$  $\Psi(p)(w^*) = H_0(i)(w) \text{ if } w^* \in \text{Dom}(p) \land \tilde{\pi}_{ii^*}(w) = w^*.$ 

 $\Psi(p)$  is indeed a condition in  $P_0(i^*)$  by Lemma 27 for  $\tilde{\pi}_{ii^*}$ , as  $\|p\|^{L[H(<i^*)]} < i^*$  (actually we only need  $\|p\|^{L[H(<i^*)]} \leq i^*$  here).

Now set  $H_0^*(i^*) = \{\Psi(p) \mid p \in H_0(i^*)\}$ , our desired modification of  $H_0(i^*)$ . We prove that  $H_0^*(i^*)$  is  $P_0(i^*)$ -generic over  $L[H(\langle i^*)]$ . Clearly  $H_0^*(i^*)$  is an upward-closed filter. Let  $D \in L[H(\langle i^*)]$  be dense on  $P_0(i^*)$ . To show that  $H_0^*(i^*)$  meets D, we define:

p' is a small modification of p iff Dom(p) = Dom(p') and  $\{x \mid p(x) \neq p'(x)\}$  has cardinality at most i.

p strongly meets D iff all small modifications p' of p meet D.

Note that the collection of all small modifications p' of p is a set in  $L[H(< i^*)]$  of cardinality at most  $(||p||^i \cdot 2^i)^{L[H(< i^*)]} < i^*$ .

Claim.  $\{p \mid p \text{ strongly meets } D\}$  is dense in  $P_0(i^*)$ .

*Proof of Claim.* Let  $p_0 = q_0$  be some element of  $P_0(i^*)$ . We create a descending sequence of  $q_\alpha$ 's as follows:  $q_1$  meets D and  $q_1 \leq q_0$ .  $q'_1$  is a small

modification of  $q_1$  on  $\text{Dom}(q_0)$ ,  $q_1''$  is an extension of  $q_1'$  meeting D, and  $q_2$  is  $q_1''$  without the modification. We repeat this with another modification on  $\text{Dom}(p_0)$ , etc., taking unions at limits, until all modifications on  $\text{Dom}(p_0)$  have been considered.

 $p_1$ , the union of all  $q_{\alpha}$ , is an element of  $P_0(i^*)$ . For this  $p_1$  we do the same as for  $p_0$ , obtaining  $p_2$ , and continue this for  $i^{+L}$  steps, taking unions at limits. Then  $p_{i^{+L}}$  is an element of  $P_0(i^*)$  which strongly meets D.  $\Box$  (*Claim*)

Choose  $s \in H_0(i^*)$  which strongly meets D.  $s' = \Psi(s) \in H_0^*(i^*)$  is a small modification of s, so we have  $d \in D$  s.t.  $s' \leq d$ . As  $H_0^*(i^*)$  is upward-closed,  $d \in H_0^*(i^*)$ , so we have shown that  $H_0^*(i^*)$  meets D. This completes the construction of  $H(\leq i^*)$ .

Suppose that *i* is a limit indiscernible. By induction we have the following property: If  $i_0 < i_1$  are indiscernibles less than *i* then  $\pi_{i_0i_1}[H(< i_0) * H_0(i_0)]$ is contained in  $H(< i_1) * H_0(i_1)$  (where as before  $H_0(j)$  is the restriction of H(j) to Add(j, f(j))). We take  $H(< i) * H_0(i)$  to be the union of the  $\pi_{i_i}[H(<\bar{i}) * H_0(\bar{i})], \ \bar{i} \in I \cap i$ . This is an upward-closed filter; we verify that it meets all dense sets in *L* for  $P(<i) * P_0(i)$ : Let  $D = \tau(\vec{j}, i, \vec{\infty})$  be dense in  $P_0(i)$ . Choose an indiscernible *k* in  $(\max(\vec{j}), i)$  and set  $D_k = \tau(\vec{j}, k, \vec{\infty})$ . Then  $D_k$  is dense in  $P(<k) * P_0(k)$ , so we have some  $p_k \in D_k \cap H(< k) * H_0(k)$ . Then  $p = \pi_{ki}(p_k)$  belongs to  $H(<i) * H_0(i)$  and belongs to *D*. So  $H(<i) * H_0(i)$ is generic, as desired.

Finally, as  $P_1(i) = \prod_{\alpha \in (i,c_{i+1}) \cap \operatorname{Reg}^L} \operatorname{Add}(\alpha, f(\alpha))$  is  $i^+$ -closed and of cardinality less than  $i^{**}$  in L[H(< i)], we can use Corollary 8 to obtain a generic  $H_1(i)$  for this forcing. Then  $H(< i) * H_0(i) * H_1(i) = H(\leq i)$  is the desired  $P(\leq i)$ -generic. This completes the construction of the  $H(\leq i), i \in I$ , and therefore of the desired P-generic  $H = \bigcup_{i \in I} H(< i)$ . Clearly H can be built definably in  $L[0^{\#}]$ . This completes the proof of Theorem 24.  $\Box$ 

**Corollary 29** Suppose that  $i \in I$  and f is an Easton function which is Ldefinable with parameters  $\leq i$ . Then there is an inner model M of  $L[0^{\#}]$  with the same cofinalities and the same subsets of i as L in which  $2^{\kappa} = f(\kappa)$  for all  $\kappa \in \operatorname{Reg}^{L}$  greater than i. Proof. Consider the following variant of the iteration used to prove Theorem 24:  $P(\beta)$  is trivial for  $\beta < i$ , P(i) is  $\prod_{\alpha \in (i,c_{i+1}) \cap \operatorname{Reg}^{L}}^{Easton} \operatorname{Add}(\alpha, f(\alpha))$  over  $L^{P(<i)}$  and  $P(\beta)$  is  $\prod_{\alpha \in [c_{\beta}, c_{\beta+1}) \cap \operatorname{Reg}^{L}}^{Easton} \operatorname{Add}(\alpha, f(\alpha))$  over  $L^{P(<\beta)}$  for  $\beta > i$ . Then as in the proof of the Theorem, this forcing preserves cofinalities and forces  $2^{\kappa} = f(\kappa)$  for L-regular  $\kappa$  greater than i. Moreover, subsets of i are not added.  $\Box$ 

**Theorem 30** Let  $f : Reg^L \to Card^L$  be an Easton function which is Ldefinable with  $L[0^{\#}]$ -countable parameters. Then there is an inner model Mof  $L[0^{\#}]$  with the same cofinalities as L in which  $2^{\kappa} = f(\kappa)$  for all regular  $\kappa$ .

Proof. Let *i* be an  $L[0^{\#}]$ -countable indiscernible so that the parameters used to define *f* belong to  $L_i$ . By Corollary 29, we can preserve cofinalities over *L*, not add subsets of *i* and force  $2^{\kappa} = f(\kappa)$  for all *L*-regular  $\kappa$ greater than *i*. Over this generic extension *M*, consider the forcing  $Q = \prod_{\kappa \in \operatorname{Reg}^{L} \cap i^{+}}^{Easton} \operatorname{Add}(\kappa, f(\kappa))$ . This forcing has only countably many dense subsets in *M* as *i* is countable, and therefore we can choose a *Q*-generic over *M*. The result is a model with the same cofinalities as *L* in which  $2^{\kappa} = f(\kappa)$  for all *L*-regular  $\kappa$ .  $\Box$ 

# **2** The parameter $\omega_1^V$

Assume that  $0^{\#}$  exists.

**Definition 31** Let M be an inner model,  $\kappa$  an M-cardinal and  $\alpha$  an ordinal,  $\kappa \leq \alpha < \kappa^{+V}$ . A bijection  $f : \alpha \to \kappa$  is M-good iff  $f \upharpoonright x$  is in M whenever  $x \in M, x \subseteq \alpha$  and  $||x||^M \leq \kappa$ .

**Lemma 32** (L-good bijections) For any uncountable cardinal  $\kappa$  in V and ordinal  $\alpha$ ,  $\kappa \leq \alpha < \kappa^{+V}$ , there exists an L-good bijection  $f : \alpha \to \kappa$ .

*Proof.* Let I be the class of Silver indiscernibles and  $i^*$  the I-successor to i. We prove by induction on  $i \in I \cap [\kappa, \kappa^{+V})$  that there is an L-good bijection  $f_{\alpha} : \alpha \to \kappa$  for any  $\alpha \in [\kappa, i]$ .

 $f_{\kappa}$  is the identity. If we have  $f_i$ , then we construct  $f_{i^*}$  as follows: For  $n \in \omega$ , define  $H_n = i^* \cap \operatorname{Hull}^L((i+1) \cup \{i^*, i^{**}, \dots, i^{*n}\})$ . Each  $H_n$  has

L-cardinality  $i, H_n \subseteq H_{n+1}$  for each n and  $\bigcup H_n = i^*$  (Lemma 2). Let  $X_0 = H_0, X_{n+1} = H_{n+1} \setminus H_n$ . By Lemma 3,  $||X_n||^L = i$ . From  $f_i$  we create a bijection  $f^* : \bigcup X_n \to (\kappa \times \omega)$  by choosing  $g_n : X_n \to i$  to be a constructible bijection and setting  $f^*(\gamma) = (f_i(g_n(\gamma)), n)$  for  $\gamma$  in  $X_n$ . We claim that  $f^* \upharpoonright x$  is constructible for any constructible  $x \subseteq i^*$  with  $||x||^L = \kappa$ : Any such x is a subset of some  $H_n$ , and therefore is contained in the union of finitely many  $X_n$ 's. It follows that  $f^* \upharpoonright x$  is the finite union of constructible functions and therefore constructible. We let  $f_{i^*}$  be the composition of  $f^*$  and a constructible bijection between  $\kappa \times \omega$  and  $\kappa$ .

For  $\alpha \in (i, i^{+L})$  we let  $f_{\alpha}$  be the composition of a constructible bijection  $g : \alpha \to i$  with  $f_i$ . For  $\alpha \in [i^{+L}, i^*)$  we can use corollary 5 and the above argument for  $i^*$ .

Suppose that  $i \in (\kappa, \kappa^+)$  is a limit indiscernible with V-cofinality  $\gamma$ . Then  $\gamma \leq \kappa$ . Let  $S = \langle s_{\alpha} \mid \alpha \in \gamma \rangle$  be increasing and continuous with  $s_0 = 0$ ,  $s_1 = \kappa^*$  and  $\bigcup_{\alpha} s_{\alpha} = i$ . We split *i* into the intervals  $[s_{\alpha}, s_{\alpha+1})$  and for every interval we can use  $f_{s_{\alpha+1}}$  to create a good bijection between  $[s_{\alpha}, s_{\alpha+1})$  and  $\kappa$ . The union of these good bijections is a good bijection *f* between *i* and  $\kappa \times \gamma$ : Any  $x \in L$ ,  $x \subseteq i$ ,  $||x||^L = \kappa$  intersects only finitely many intervals  $[s_{\alpha}, s_{\alpha+1})$ , as otherwise some initial segment of *x* is cofinal in some indiscernible  $> \kappa$ , contradicting the *L*-regularity of indiscernibles. Now compose *f* with a constructible bijection between  $\kappa \times \gamma$  and  $\kappa$  to obtain the desired *L*-good bijection  $f_i$ .  $\Box$ 

**Corollary 33** (*M*-good bijections) Let *M* be any inner model with  $Card^{L} = Card^{M}$ . Then for any uncountable cardinal  $\kappa$  and ordinal  $\alpha$ ,  $\kappa \leq \alpha < \kappa^{+V}$ , there exists an *M*-good bijection  $f : \alpha \to \kappa$ .

Proof. We can find some L-good bijection  $f : \alpha \to \kappa$  using Lemma 32. This bijection is also M-good: Let  $x \in M$ ,  $x \subseteq \alpha$ ,  $||x||^M = \kappa$ . By the Covering theorem (Lemma 12) we have  $y \in L$ ,  $x \subseteq y$  and  $||y||^M = ||y||^L = \kappa$ . As f is an L-good bijection,  $f \upharpoonright y$  is constructible, and therefore  $f \upharpoonright x = (f \upharpoonright y) \upharpoonright x \in M$ , as desired.  $\Box$ 

**Lemma 34** (Stretching at  $\omega_1^V$ ) Let M be an inner model with the same cofinalities as L such that  $\alpha < \omega_1^V \to (2^{\alpha})^M \leq \omega_1^V$  and let  $\mu$  be an ordinal in the interval  $[\omega_1^V, \omega_2^V)$ . Suppose that there exists a generic over M for  $P = Add(\omega_1^V, \omega_1^V)^M$  in V. Then in V there is also a generic over M for  $Q = Add(\omega_1^V, \mu)^M$ .

Proof. From corollary 33 we have an M-good bijection  $\pi : \mu \to \omega_1^V$ . We use  $\pi$  to construct  $\pi' : \operatorname{Add}(\omega_1^V, \mu)^M \to \operatorname{Add}(\omega_1^V, \omega_1^V)^M$  as follows: Define  $\tilde{\pi} : \omega_1^V \times \mu \to \omega_1^V \times \omega_1^V$  by  $\tilde{\pi}(a, b) = (a, \pi(b))$ . We know that  $\tilde{\pi} \upharpoonright x \in M$  for  $x \subseteq \omega_1^V \times \mu$ ,  $||x||^M \le \omega_1^V$ . Now for  $p \in \operatorname{Add}(\omega_1^V, \mu)^M$  set  $\operatorname{Dom}(\pi'(p)) = \tilde{\pi}[\operatorname{Dom}(p)]$  and  $\pi'(p)(a, b) = p(\tilde{\pi}^{-1}(a, b))$ . As  $\tilde{\pi} \upharpoonright \operatorname{Dom}(p)$  belongs to M, so does  $\tilde{\pi}^{-1} \upharpoonright \operatorname{Dom}(\pi'(p))$ . It follows that  $\pi'(p)$  is in M and is therefore a condition in  $\operatorname{Add}(\omega_1^V, \omega_1^V)^M$ . ( $\pi'$  is not surjective, but this will not matter.)

Let G be  $\operatorname{Add}(\omega_1^V, \omega_1^V)^M$ -generic over M. Our candidate for a Q-generic over M is  $H = \{q \in Q \mid \pi'(q) \in G\}$ . We need only check that H intersects maximal antichains on Q which belong to M.

Let  $A \in M$  be a maximal antichain on  $\operatorname{Add}(\omega_1^V, \mu)^M$  and set  $A' = \{\pi'(a) \mid a \in A\}$ . A' is in M because Q is  $(\omega_1^V)^{+L}$ -c.c. and  $\pi$  is an M-good bijection. Clearly A' is an antichain; we want to show that A' is a maximal antichain in M.

Let  $D(A) = \bigcup \{ \text{Dom}(a) \mid a \in A \}$  and  $D(A') = \bigcup \{ \text{Dom}(a) \mid a \in A' \}$ . The bijection id  $\times \pi$  maps D(A) onto D(A') and id  $\times \pi \upharpoonright D(A)$  belongs to M. Therefore we have the following key property: For any  $q \in \text{Add}(\omega_1^V, \omega_1^V)^M$ with  $\text{Dom}(q) \subseteq D(A')$ , there is  $p \in \text{Add}(\omega_1^V, \mu)^M$  with  $\pi'(p) = q$ . Now we can verify that A' is maximal: Let  $q \in \text{Add}(\omega_1^V, \omega_1^V)^M$ . We want to find some element in A' compatible with q. Set  $q_1 = q \upharpoonright D(A')$ . There is a  $p_1$  with  $\pi'(p_1) = q_1$  and  $p_1$  is compatible with some  $a \in A$  (because A is a maximal antichain). But then  $\pi'(a)$  is in A' and compatible with  $q_1$ . As  $q_1$  and q agree on D(A'),  $\pi'(a)$  is also compatible with q.

As A' is a maximal antichain there exists  $g \in A' \cap G$ . But g is some  $\pi'(h)$ , with  $h \in A \cap H$ , showing that H meets A, as desired.  $\Box$ 

**Lemma 35** (Uniformly L-good bijections) Let  $f \in L$ ,  $\kappa \in Card^V$ ,  $f : \kappa \to [\kappa, \kappa^{+V})$ . Then there exists a "uniformly L-good" bijection  $g : \bigcup_{\alpha \in \kappa} (\{\alpha\} \times f(\alpha)) \to \kappa \times \kappa$ , i.e., for each  $\alpha \in \kappa$ ,  $g \upharpoonright \{\alpha\} \times f(\alpha)$  is a bijection between  $\{\alpha\} \times f(\alpha)$  and  $\{\alpha\} \times \kappa$ , and for  $x \subseteq Dom(g)$ ,  $||x||^L = \kappa$ , we have  $g \upharpoonright x \in L$ .

*Proof.* By induction on  $\gamma = \sup\{f(\alpha) \mid \alpha < \kappa\}$ . If  $\gamma = \kappa$  then we choose g to be the identity.

Suppose that  $\gamma \in (i, i^{+L})$  for some  $i \in I$ . Let  $f'(\alpha) = \min\{f(\alpha), i\}$ . For this f' we have the desired g' by induction. In L, canonically choose bijections  $h_{\beta} : \beta \to i$  for  $\beta \in [i, i^{+L})$ . Then define:

 $g(\alpha, y) = g'(\alpha, y) \text{ (if } f(\alpha) \le i),$  $g(\alpha, y) = g'(\alpha, h_{f(\alpha)}(y)) \text{ (if } f(\alpha) > i).$ 

As the sequence of  $h_{\alpha}$ 's is in L, this g is uniformly L-good.

Next suppose  $\gamma \in [i^{+L}, i^*)$  for some  $i \in I$ . In this case we use the disjoint splitting of  $i^*$  into the  $X_n$ 's from Lemma 3. Set:

 $f'(\alpha) = f(\alpha) \text{ (if } f(\alpha) < i^{+L}),$  $f'(\alpha) = i \text{ (if } f(\alpha) \ge i^{+L}).$ 

For this f' we have the desired g' by induction. For each  $n \in \omega$ , choose in L canonical bijections  $h^n_{\beta}: X_n \cap \beta \to i, \beta \in [i^{+L}, i^*)$ . Then define:

$$\begin{split} g(\alpha,y) &= g'(\alpha,y) \text{ (if } f(\alpha) < i^{+L}), \\ g(\alpha,y) &= g'(\alpha,h_{f(\alpha)}^n(y)) \text{ (if } f(\alpha) \ge i^{+L} \text{ and } y \in X_n). \end{split}$$

We verify that g is uniformly L-good. Let  $x \subseteq \text{Dom}(g)$ ,  $||x||^L = \kappa$ . We need  $g \upharpoonright x \in L$ . x is subset of  $\kappa \times \gamma$  where  $\gamma < i^*$ . So  $x \in L_{i^*}$  and therefore a subset of the union of finitely many  $X_n$ . So  $g \upharpoonright x$  is the union of finitely many constructible functions and is therefore in L.

Finally, suppose that  $\gamma$  is an indiscernible. We have that  $\beta = \sup\{f(\alpha) \mid \alpha \in \text{Dom}(f) \land f(\alpha) \neq \gamma\}$  is smaller than  $\gamma$ . By induction we have the desired g' for the following modification of f:

 $\begin{aligned} f'(\alpha) &= f(\alpha) \text{ (if } f(\alpha) < \gamma), \\ f'(\alpha) &= \kappa \text{ (if } f(y) = \gamma). \end{aligned}$ 

From lemma 32 we have an L-good bijection  $b_{\gamma} : \gamma \to \kappa$ . So define:

 $g(\alpha, y) = g'(\alpha, y) \text{ (if } f(\alpha) < \gamma),$  $g(\alpha, y) = (\alpha, b_{\gamma}(y)) \text{ (if } f(\alpha) = \gamma).$  If x is a subset of Dom(g) in L of L-cardinality  $\kappa$  then  $g \upharpoonright x$  is the union of two constructible functions, and is therefore in L.  $\Box$ 

**Corollary 36** (Uniformly M-good bijections) Let M be an inner model with  $Card^{L} = Card^{M}$ . Let  $f \in M$  be from  $\kappa$  to  $[\kappa, \kappa^{+V})$ . Then there exists a uniformly M-good bijection  $g : \bigcup_{\alpha \in \kappa} (\{\alpha\} \times f(\alpha)) \to \kappa \times \kappa$ , i.e., for each  $\alpha \in \kappa, g \upharpoonright \{\alpha\} \times f(\alpha)$  is a bijection between  $\{\alpha\} \times f(\alpha)$  and  $\{\alpha\} \times \kappa$ , and for  $x \subseteq Dom(g), ||x||^{M} = \kappa$ , we have  $g \upharpoonright x \in M$ .

*Proof.* As in Corollary 33.  $\Box$ 

**Lemma 37** (Stretching below  $\omega_1^V$ ) Let f be a constructible function from  $\operatorname{Reg}^L \cap \omega_1^V$  to  $\operatorname{Card}^L \cap \omega_2^V$  (obeying the Easton conditions). Define f' by  $f'(\kappa) = \min\{f(\kappa), \omega_1^V\}$  for each  $\kappa \in \operatorname{Reg}^L \cap \omega_1^V$ . Suppose that M is an inner model with the same cofinalities as L such that  $\alpha < \omega_1^V \to (2^{\alpha})^M \leq \omega_1^V$ , and in V there is a generic over M for  $P' = \prod_{\kappa \in \omega_1^V \cap \operatorname{Reg}^L}^{Easton} \operatorname{Add}(\kappa, f'(\kappa))$  of M. Then in V there is also a generic over M for  $P = \prod_{\kappa \in \omega_1^V \cap \operatorname{Reg}^L}^{Easton} \operatorname{Add}(\kappa, f(\kappa))$  of M.

*Proof.* By Corollary 36 we have a uniformly *M*-good bijection  $g: \bigcup_{\alpha < \omega_1^V} \{\{\alpha\} \times f''(\alpha)\} \to \omega_1^V \times \omega_1^V$ , where:

 $f''(\alpha) = \max\{f(\alpha), \omega_1^V\} \text{ if } \alpha \in \operatorname{Reg}^L \cap \omega_1^V, \\ f''(\alpha) = \omega_1^V \text{ otherwise.}$ 

For  $\alpha < \omega_1^V$  let  $g_\alpha : f''(\alpha) \to \omega_1^V$  be defined by  $g_\alpha(\beta) = g(\alpha, \beta)$ .

Now for  $\kappa \in \operatorname{Reg}^{L} \cap \omega_{1}^{V}$  define  $\pi_{\kappa} : \operatorname{Add}(\kappa, f(\kappa))^{M} \to \operatorname{Add}(\kappa, f'(\kappa))^{M}$  by:

 $\pi_{\kappa}(p)(a,b) = p(a,b) \text{ if } f(\kappa) = f'(\kappa),$  $\pi_{\kappa}(p)(a,b) = p(a,g_{\kappa}^{-1}(b)) \text{ if } f(\kappa) > f'(\kappa).$ 

And define  $\pi$  from P to P' by:  $\pi(p)(\kappa) = \pi_{\kappa}(p(\kappa))$  for each  $\kappa \in \operatorname{Reg}^{L} \cap \omega_{1}^{V}$ .

Choose G' in V to be P'-generic over M. Our candidate for a P-generic over M is  $G = \{p \in P \mid \pi(p) \in G'\}$ . This is a filter, so we need only show that it intersects maximal antichains on P which belong to M.

Suppose that A is a maximal antichain in P and define  $A' = \{\pi(a) \mid a \in A\}$ . A' is an antichain on P'; we will show that A' is in fact a maximal antichain on P'. Note that A' belongs to M because (by the hypothesis  $\alpha < \omega_1^V \to (2^{\alpha})^M \le \omega_1^V$ ) P is  $(\omega_1^V)^{+L}$ -c.c. in M and g is a good bijection.

Let  $D(A') = \bigcup \{ \text{Dom}(a') | a' \in A' \}$ . Then for any  $p' \in P'$  with  $\text{Dom}(p') \subseteq D(A')$  there is  $p \in P$  such that  $\pi(p) = p'$ . This is again because D(A') has M-cardinality at most  $\omega_1^V$  and g is good. Now let p' belong to P'. We want to find some element in A' which is compatible with p'. Set  $p'_1 = p' \upharpoonright D(A')$ . Then there is p such that  $\pi(p) = p'_1$ . p is compatible with some  $a \in A$  (because A is a maximal antichain in P), and therefore  $p'_1 = \pi(p)$  is compatible with  $\pi(a) \in A'$ . As p',  $p'_1$  agree on  $\text{Dom}(\pi(a)) \subseteq D(A')$ , it follows that p' is also compatible with  $\pi(a) \in A'$ . So A' is a maximal antichain.

Now as A' is a maximal antichain on P' we may choose some  $g' \in A' \cap G'$ . Then  $g' = \pi(p)$  for some p and p belongs to both A and G, so we have shown that G is P-generic over M, as desired.  $\Box$ 

**Lemma 38** Let  $P = \bigstar_{\kappa \in Reg^{L}}^{Easton} Add(\kappa, \kappa)$  in L. Then P preserves cofinalities and the GCH, and there exists a P-generic over L in  $L[0^{\#}]$ .

Proof. Preservation of cofinalities and of the GCH are straightforward, using the factoring of P as  $P(<\kappa) * P(\kappa) * P(>\kappa)$  for  $\kappa \in \operatorname{Reg}^{L}$ : "Cofinality greater than  $\kappa$ " is preserved as  $P(\leq \kappa)$  has a dense subset of L-cardinality  $\kappa$  and  $P(>\kappa)$  is  $\kappa^+$ -closed. The GCH still holds at the infinite cardinal  $\lambda$ as  $P(\leq \lambda)$  has a dense subset of L-cardinality at most  $\lambda^+$  and  $P(>\lambda)$  is  $\lambda^+$ -closed.

To build a *P*-generic in  $L[0^{\#}]$  we proceed as in the previous section (although the proof here is much easier). By induction on  $i \in I$  we define a generic  $G(\leq i)$  for  $P(\leq i)$ . We inductively ensure the following coherence property: For indiscernibles i < j, G(< i) is a subset of G(< j) and  $G(i) \subseteq \operatorname{Add}(i, i)^{L[G(<i)]}$  is a subset of G(j). If  $i = \min I$  then we choose G(< i) to be some P(< i) generic, which exists due to the countability of  $i^{+L}$  in  $L[0^{\#}]$ . Our coherence property ensures that for i a limit indiscernible we can take G(< i) to be  $\bigcup_{\bar{i} < i} G(<\bar{i})$  and G(i) to be  $\bigcup_{\bar{i} < i} G(\bar{i})$ . The resulting  $G(\leq i)$  is  $P(\leq i)$ -generic as if  $D = \tau(\vec{j}, i, \vec{\infty})$  is dense in  $P(\leq i)$  we choose an indiscernible k from  $(\max(\vec{j}), i)$  and consider  $D_k = \tau(\vec{j}, k, \vec{\infty})$ , a dense subset of  $P(\leq k)$ . Then by induction there is  $\bar{p}$  in  $D_k \cap G(\leq k)$  and  $\pi_{ki}(\bar{p}) = \bar{p}$ belongs to D. By coherence,  $\bar{p}$  belongs to  $G(\leq i)$ , so  $G(\leq i)$  meets D, as desired.

Finally suppose that  $G(\leq i)$  has been defined and we wish to define  $G(\leq i^*)$ . Now  $P(\langle i^*)$  factors as  $P(\langle i^*) \simeq P(\leq i) * P(i, i^*)$ , where  $P(i, i^*)$  is  $i^+$ -closed and of cardinality  $i^*$  in  $L^{[G(\leq i)]}$ . It follows from Lemma 8 that we can choose a  $P(i, i^*)$ -generic in  $L[0^{\#}]$ , resulting in a  $P(\langle i^*)$ -generic  $G(\langle i^*)$  including  $G(\langle i)$ . Similarly, we can choose a  $P(i^*)$ -generic in  $L[0^{\#}]$  below the condition G(i) in  $P(i^*)$ . The result is a  $P(\leq i^*)$ -generic  $G(\langle i^*)$  obeying our coherence property.  $\Box$ 

**Theorem 39** Let  $f : Reg^L \to Card^L$  be an L-definable Easton function with parameters  $\leq \omega_1^V$ . Then there is a cofinality preserving generic extension  $M \subseteq V$  of L such that  $M \models 2^{\kappa} = f(\kappa)$  for all  $\kappa \in Reg^L$ .

*Proof.* Let  $\gamma = \min\{\alpha \mid f(\alpha) \ge \omega_1^V\}$ . We first use the following forcings:

- 1.  $P_1 = \bigstar_{c_i \in C} [\prod_{\kappa \in [c_i, c_{i+1}) \cap \operatorname{Reg}^L} \operatorname{Add}(\kappa, f(\kappa)] \text{ in } L, \text{ where } C = \{c_\alpha \mid \alpha \in \operatorname{Ord}\}$  is the increasing enumeration of the class consisting of  $(\omega_1^V)^{+L}$  together with the uncountable closure points of f.
- 2.  $P_2 = \bigstar_{\kappa < \omega_1^V \cap \operatorname{Reg}^L} \operatorname{Add}(\kappa, \kappa)$  in  $L^{P_1}$
- 3.  $P_3 = \text{Add}(\omega_1^V, \omega_1^V)$  in  $L^{P_1 * P_2}$
- 4.  $P_4 = \prod_{\gamma \leq \kappa < \omega_1^V \land \kappa \in \operatorname{Reg}^L}^{Easton} \operatorname{Add}(\kappa, \omega_1^V)$  in  $L^{P_1 * P_2 * P_3}$
- 5.  $P_5 = \prod_{\kappa \in \gamma \cap \operatorname{Reg}^L}^{Easton} \operatorname{Add}(\kappa, f(\kappa))$  in  $L^{P_1 * P_2 * P_3 * P_4}$ .

Here,  $\bigstar$  denotes reverse Easton iteration with Easton supports. By Lemma 28, the iteration  $P_1 * P_2 * P_3 * P_4 * P_5$  preserve cofinalities over L and forces the generic extension to realise the following Easton function f':

$$\begin{split} f'(\kappa) &= f(\kappa), \text{ if } \kappa < \omega_1^V \text{ and } f(\kappa) < \omega_1^V, \\ f'(\kappa) &= \omega_1^V, \text{ if } \kappa < \omega_1^V \text{ and } f(\kappa) \ge \omega_1^V, \end{split}$$

 $f'(\kappa) = (\omega_1^V)^{+L}$ , if  $\kappa = \omega_1^V$  and  $f'(\kappa) = f(\kappa)$ , if  $\kappa > \omega_1^V$ .

We next find generics for the  $P_i$ 's:

 $P_1$  has a generic  $G_1$  by Corollary 29.

 $\begin{array}{l} P_2: \mbox{ By Lemma 38, there exists a } P_2\mbox{-generic } G_2 \mbox{ over } L. \mbox{ But as } \mathcal{P}(\omega_1^V)^L = \\ \mathcal{P}(\omega_1^V)^{L[G_1]}, \mbox{ it follows that } G_2 \mbox{ is also } P_2\mbox{-generic over } L[G_1]. \\ P_3: \mbox{ By Lemma 38, there is a } P_3\mbox{-generic } G_3 \mbox{ over } L[G_2]; \mbox{ again as } \mathcal{P}(\omega_1^V)^L = \\ \mathcal{P}(\omega_1^V)^{L[G_1]}, \mbox{ it follows that } G_2 * G_3 \mbox{ is } P_2 * P_3\mbox{-generic over } L[G_1]. \\ P_4: \mbox{ By Lemma 9, } P_2 * P_4 \mbox{ is } \omega_1^V\mbox{-cc in } V \mbox{ and therefore has a generic } G_2 *'G_4 \mbox{ over } L. \\ We \mbox{ can assume that } G_2' = G_2. \mbox{ Then } G_4 \mbox{ is also generic over } L[G_1 * G_2 * G_3], \\ \mbox{ as all bounded subsets of } \omega_1^V \mbox{ of the latter model belong to } L[G_2]. \\ P_5; \mbox{ If } \gamma \mbox{ is less than } \omega_1^V \mbox{ then } P_5 \mbox{ has only countably many subsets in } L[G_1 * G_2 * G_3 * G_4] \mbox{ and therefore there is a } P_5\mbox{-generic } G_5 \mbox{ over that model. If } \gamma \\ \mbox{ equals } \omega_1^V, \mbox{ then we can apply Lemma 9 to } P_2 * P_4 * P_5. \\ \end{array}$ 

Now let M be the model  $L[G_1 * G_2 * G_3 * G_4 * G_5]$  and define  $P_6 = \operatorname{Add}(\omega_1^V, f(\omega_1^V))$  in M and  $P_7 = \prod_{\kappa \in \operatorname{Reg}^L \cap \omega_1^V} \operatorname{Add}(\kappa, f(\kappa))$  in  $M^{P_6}$ . By Lemma 28 it suffices to find generics for  $P_6$  and  $P_7$ . A  $P_6$ -generic  $G_6$  over Mexists by Lemma 34 (Stretching at  $\omega_1^V$ ). And a  $P_7$ -generic over  $M[G_6]$  exists by Lemma 37 (Stretching below  $\omega_1^V$ ). This completes the proof.  $\Box$ 

### **3** The parameter $\omega_2$

As mentioned in the introduction, we cannot expect every Easton function which is *L*-definable with parameter  $\omega_2$  to be realisable in an inner model, as CH implies that  $2^{\omega} < \omega_2^V$  holds in all inner models. A reasonable conjecture would be that any *L*-definable Easton function f with parameter  $\omega_2^V$  satisfying  $f(\alpha) < \omega_2^V$  for countable  $\alpha \in \text{Reg}^L$  can be realised in an inner model of  $L[0^{\#}]$  with same cofinalities as L. The following result is a step in that direction.

**Theorem 40** There is an inner model of  $L[0^{\#}]$  with the same cofinalities as L in which  $\omega_1^V$  is a strong limit cardinal and  $2^{\omega_1^V} = \omega_2^V$ .

Proof. Assume  $V = L[0^{\#}]$ . We shall use the gap 1 morass at  $\omega_1$  whose construction is based on [1]. In particular, a morass point is an ordinal  $\sigma$ (with sufficient closure) such that  $\sigma < \omega_2$  and  $L_{\sigma}[0^{\#}] \models \omega_1$  is the largest cardinal. The *level* of the morass point  $\sigma$ , denoted  $\alpha(\sigma)$ , is the  $\omega_1$  of  $L_{\sigma}[0^{\#}]$ . A morass level is an ordinal of the form  $\alpha(\sigma)$  for some morass point  $\sigma$ . If  $\alpha$  is a countable morass level then  $\sigma(\alpha)$  denotes the largest  $\sigma$  such that  $\alpha(\sigma) = \alpha$ . If  $\alpha$  is countable then  $\sigma(\alpha)$  is also countable. To certain pairs  $(\sigma, \tau)$  of morass points with  $\alpha(\sigma) < \alpha(\tau)$  is associated a  $\Sigma_1$  elementary map  $\pi_{\sigma\tau}$  from  $L_{\sigma}[0^{\#}]$ to  $L_{\tau}[0^{\#}]$  which is the identity on  $\alpha(\sigma)$  and sends  $\alpha(\sigma)$  to  $\alpha(\tau)$ . We write  $\sigma <_1 \tau$  when  $\pi_{\sigma\tau}$  is defined. Also write  $\sigma <_0 \tau$  when  $\alpha(\sigma) = \alpha(\tau)$  and  $\sigma$  is less than  $\tau$ . All morass points, and all morass levels, are limit points of I.

The desired inner model M is a generic extension of L via the forcings described next.

First we add a function  $f : \omega_1^V \to \omega_1^V$  using a reverse Easton iteration of length  $\omega_1^V$ . At *L*-regular stage  $\alpha \leq \omega_1^V$ , force a function from  $\alpha$  to  $\alpha$ with initial segments of size less than  $\alpha$ . A generic for this forcing *P* can be built using  $0^{\#}$ : By induction on  $i \in I$  we define a generic for  $P(\leq i)$ . This is easy when  $i = \min I$  by the countability of  $i^{+L}$  and also when  $i = j^*$  is a successor indiscernible, using the *i*-closure of the forcing together with a decomposition of the collection of dense subsets of P(j, i] into the union of  $\omega$ -many subcollections in  $L[G(\leq j)]$  of size *j*. For limit *i*, we take G(< i)to be the union of the  $G(< j), j \in I \cap i$ . To obtain G(i) we need to know inductively that j < k < i in  $I \to G(j) \subseteq G(k)$ . This we can easily arrange at the successor steps of the construction. The desired generic function is  $f = G(\omega_1^V) : \omega_1^V \to \omega_1^V$ .

Now notice that in the above construction we had complete freedom about how to define f at indiscernibles. We choose our f so that for a morass level  $i, f(i) = \sigma(i)$ , the largest morass point on level i, and for an indiscernible iwhich is not a morass level, f(i) = 0.

The desired inner model M is a generic extension of L[f] via the reverse Easton iteration Q of  $\operatorname{Add}(\alpha, f(\alpha))$  for L-regular  $\alpha < \omega_1^V$  followed by  $\operatorname{Add}(\omega_1^V, \omega_2^V)$ . (Add $(\alpha, 0)$  is the trivial forcing.) To obtain a generic for this iteration, we inductively build generics  $G(\leq i)$  for  $P(\leq i)$ ,  $i \in I$ ,  $i \leq \omega_1^V$ , which bey the following condition:

(\*) For a morass point  $\sigma$  let  $G(\sigma)$  denote the restriction of  $G(\alpha(\sigma)) \subseteq$ Add $(\alpha(\sigma), \sigma(\alpha(\sigma)))$  to Add $(\alpha(\sigma), \sigma)$ . Then if  $\sigma <_1 \tau$ , we have  $\pi_{\sigma\tau}[G(\sigma)] \subseteq G(\tau)$ .

Now we describe the inductive construction of the  $G(\leq i)$ ,  $i \in I$ ,  $i \leq \omega_1^V$ . If *i* is not a limit indiscernible then we take G(< i) to be any P(<i)-generic extending the G(< j),  $j \in I \cap i$ ; otherwise, G(< i) is the union of the G(< j),  $j \in I \cap i$ . If *i* is not a morass level then we take G(i) to be trivial and if *i*  equals  $\omega_1^V$  then we take G(i) to be the union of the  $\pi_{\bar{\sigma}\sigma}[G(\bar{\sigma})]$  for  $\bar{\sigma} <_1 \sigma$ ,  $\alpha(\sigma) = \omega_1$ . So assume now that *i* is a countable morass level and recall that  $\sigma(i)$  denotes the largest morass point  $\sigma$  such that  $\alpha(\sigma) = i$ .

Case 1:  $\sigma(i)$  is  $<_1$  minimal. For  $\sigma <_0 \sigma(i)$  define  $G(\sigma)$  to be the union of  $\pi_{\bar{\sigma}\sigma}[G(\bar{\sigma})]$  for  $\bar{\sigma} <_1 \sigma$ . By an inductive use of (\*) and morass properties,  $G(\sigma)$  is generic for Add $(i, \sigma)$  for  $\sigma <_0 \sigma(i)$  and  $G(\sigma) \subseteq G(\sigma')$  for  $\sigma <_0 \sigma' <_0 \sigma(i)$ . If  $\sigma(i)$  is a  $<_0$  limit, then take  $G(i) = G(\sigma(i))$  to be the union of the  $G(\sigma)$ ,  $\sigma <_0 \sigma(i)$  (this is Add $(i, \sigma(i))$ -generic), and otherwise take  $G(i) = G(\sigma(i))$  to be any Add $(i, \sigma(i))$ -generic containing the  $G(\sigma), \sigma <_0 \sigma(i)$ .

Case 2:  $\sigma(i)$  is the  $<_1$ -successor to some  $\bar{\sigma}$ . First suppose that  $\sigma(i)$  is  $<_0$  minimal. We must choose  $G(i) = G(\sigma(i))$  to be  $\operatorname{Add}(i, \sigma(i))$ -generic and to contain  $\pi_{\bar{\sigma}\sigma(i)}[G(\bar{\sigma})]$ . This brings us to the main step in the proof, based on the following generalisation of Lemma 27.

**Lemma 41** Suppose that *i* is an indiscernible and *X* is a set of indiscernibles greater than *i* of limit ordertype. Let *j* be the minimum of *X* and let *H* denote the Skolem hull of  $X \cup i$  in *L*. Then if *x* is a constructible set of *L*-cardinality at most *j*, the intersection of *x* with *H* is a constructible set of *L*-cardinality at most *i*.

*Proof.* We may assume that x is a set of ordinals. Let k denote the least indiscernible such that x is a subset of k. We may assume that x is a subset of sup X and therefore k is at most sup X. In fact, k is less than sup X as the latter is regular in L. Now we prove the lemma by induction on k. If k is at most j, then the desired conclusion is immediate, as in this case  $x \cap H = x \cap (H \cap j) = x \cap i$ . If k is greater than j then it cannot be a limit indiscernible, as indiscernibles are L-regular. So assume that k is the least indiscernible greater than the indiscernible l, where l is at least j.

If l does not belong to H then  $x \cap H = (x \cap l) \cap H$  so the desired conclusion follows by induction. If l does belong to H then as x has L-cardinality at most l, there is some finite n such that x is a subset of  $H_n =$  the Skolem hull in L of  $l \cup \{l\} \cup \infty_n$ , where  $\infty_n$  consists of n indiscernibles greater than l in H (recall that X has limit ordertype). But now let  $\pi$  be a bijection in H between l and  $H_n$ . We have  $x \cap H = \pi[y \cap H]$ , where  $y = \pi^{-1}[x]$ . By induction,  $y \cap H$  is constructible of L-cardinality at most i and therefore so is  $x \cap H$ .  $\Box$ 

Using this lemma we proceed with the construction of  $G(\sigma(i))$  in Case 2 as follows. First select  $G'(\sigma(i))$  to be any  $Add(i, \sigma(i))$ -generic. We must modify  $G'(\sigma(i))$  to the desired  $G(\sigma(i))$  containing  $\pi_{\bar{\sigma}\sigma(i)}[G(\bar{\sigma})]$ . By Lemma 41, we obtain a well-defined condition  $p^*$  if we modify a condition p in  $Add(i, \sigma(i))$ so as to agree with  $\pi_{\bar{\sigma}\sigma(i)}[G(\bar{\sigma})]$  on the range of  $\pi_{\bar{\sigma}\sigma(i)}$ . Let  $G(\sigma(i))$  consist of all modification  $p^*$  of conditions in  $p \in G'(\sigma(i))$ . Then as in the construction of the generic in the first section of this paper (see the reference to "small modifications"), this modified  $G(\sigma(i))$  is also  $\operatorname{Add}(i, \sigma(i))$ -generic. This completes the construction of  $G(\leq i)$  in Case 2 when  $\sigma(i)$  is  $<_0$  minimal. When  $\sigma(i)$  is the  $<_0$ -successor to  $\sigma_0$ , then we choose  $G'(\sigma(i))$  to be any Add $(i, \sigma(i))$ -generic extending  $G(\sigma_0) = \bigcup_{\bar{\sigma}_0 < 1\sigma_0} \pi_{\bar{\sigma}_0\sigma_0}[G(\bar{\sigma}_0)]$  and then modify it as in the  $<_0$ -minimal case to the desired  $G(\sigma(i))$  which agrees with  $\pi_{\bar{\sigma}\sigma(i)}[G(\bar{\sigma})]$  on the range of  $\pi_{\bar{\sigma}\sigma(i)}$ . If  $\sigma(i)$  is a <<sub>0</sub>-limit, then we set  $G(\sigma(i))$ to be the union of the  $\pi_{\bar{\sigma}_0\sigma_0}[G(\bar{\sigma}_0)]$  for  $\bar{\sigma}_0 <_1 \sigma_0 <_0 \sigma(i)$ . By an inductive use of (\*) and morass properties, it follows that the resulting  $G(\sigma(i))$  is a well-defined Add $(i, \sigma(i))$ -generic which agrees with  $\pi_{\bar{\sigma}\sigma(i)}[G(\bar{\sigma})]$  on the range of  $\pi_{\bar{\sigma}\sigma(i)}$ .

Case 3:  $\sigma(i)$  is a  $<_1$  limit. In this case we take G(i) to be the union of the  $\pi_{\bar{\sigma}\sigma(i)}[G(\bar{\sigma})]$ . By an inductive use of (\*) together with morass properties, this yields a well-defined Add $(i, \sigma(i))$ -generic, which by definition contains  $\pi_{\bar{\sigma}\sigma(i)}[G(\bar{\sigma})]$  for  $\bar{\sigma} <_1 \sigma$ .

This completes the construction of the  $G(\leq i)$  for indiscernibles  $i \leq \omega_1^V$ . The model  $M = L[f][G(\leq \omega_1^V)]$  is the desired inner model of  $V = L[0^{\#}]$  with same cofinalities as L in which  $\omega_1^V$  is a strong limit cardinal and  $2^{\omega_1^V} = \omega_2^V$ .  $\Box$  Question. Which L-definable Easton functions with parameters are realisable in an inner model of  $L[0^{\#}]$  with the same cofinalities as L?

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