# Slow Consistency

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Abstract. The fact that "natural" theories, i.e. theories which have something like an "idea" to them, are almost always linearly ordered with regard to logical strength has been called one of the great mysteries of the foundation of mathematics. However, one easily establishes the existence of theories with incomparable logical strengths using self-reference (Rosser-style). As a result, PA + Con(PA) is not the least theory whose strength is greater than that of PA. But still we can ask: is there a sense in which PA + Con(PA) is the least "natural" theory whose strength is greater than that of PA. But still we can ask: is there a sense in which PA + Con(PA) is the least "natural" theory whose strength is greater than that of PA? In this paper we exhibit natural theories in strength strictly between PA and PA + Con(PA) by introducing a notion of slow consistency.

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# **1** Preliminaries

**PA** is Peano Arithmetic. **PA**  $\upharpoonright_k$  denotes the subtheory of **PA** usually denoted by  $I\Sigma_k$ . It consists of a finite base theory  $\mathbf{P}^-$  (which are the axioms for a commutative discretely ordered semiring) together with a single  $\Pi_{k+2}$  axiom which asserts that induction holds for  $\Sigma_k$  formulae. For functions  $F : \mathbb{N} \to \mathbb{N}$  we use exponential notation  $F^0(x) = x$  and  $F^{k+1}(x) = F(F^k(x))$  to denote repeated compositions of F.

In what follows we require an ordinal representation system for  $\varepsilon_0$ . Moreover, we assume that these ordinals come equipped with specific fundamental sequences  $\lambda[n]$  for each limit ordinal  $\lambda \leq \varepsilon_0$ . Their definition springs forth from their representation in Cantor normal form (to base  $\omega$ ). For an ordinal  $\alpha$  such that  $\alpha > 0$ ,  $\alpha$  has a unique representation :

$$\alpha = \omega^{\alpha_1} \cdot n_1 + \dots + \omega^{\alpha_k} \cdot n_k$$

where  $0 < k, n_1, \ldots, n_k < \omega$ , and  $\alpha_1, \ldots, \alpha_k$  are ordinals such that  $\alpha_1 > \cdots > \alpha_k$ .

**Definition 1.1** For  $\alpha$  an ordinal and n a natural number, let  $\omega_n^{\alpha}$  be defined inductively by  $\omega_0^{\alpha} := \alpha$ , and  $\omega_{n+1}^{\alpha} := \omega^{\omega_n^{\alpha}}$ .

We also write  $\omega_n$  for  $\omega_n^1$ . In particular,  $\omega_0 = 1$  and  $\omega_1 = \omega$ .

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**Definition 1.2** For each limit ordinal  $\lambda \leq \varepsilon_0$ , define a strictly monotone sequence,  $\lambda[n]$ , of ordinals converging to  $\lambda$  from below. The definition is by induction on  $\lambda$ .

Case 1.  $\lambda = \omega^{\alpha+1} \cdot (\beta + 1)$ . Put  $\lambda[n] = \omega^{\alpha+1} \cdot \beta + \omega^{\alpha} \cdot n$ . (Remark: In particular,  $\omega[n] = n$ .) Case 2.  $\lambda = \omega^{\gamma} \cdot (\beta + 1)$ , and  $\gamma < \lambda$  is a limit ordinal. Put  $\lambda[n] = \omega^{\gamma} \cdot \beta + \omega^{\gamma[n]}$ . Case 3.  $\lambda = \varepsilon_0$ . Put  $\varepsilon_0[0] = \omega$  and  $\varepsilon_0[n+1] = \omega^{\varepsilon_0[n]}$ . (Remark: Thus  $\varepsilon_0[n] = \omega_{n+1}$ .) It will be convenient to have  $\alpha[n]$  defined for non-limit  $\alpha$ . we set  $(\beta + 1)[n] = \beta$  and

It will be convenient to have  $\alpha[n]$  defined for non-limit  $\alpha$ . we set  $(\beta + 1)[n] = \beta$  as 0[n] = 0.

**Definition 1.3** By "a fast growing " hierarchy we simply mean a transfinitely extended version of the Grzegorczyk hierarchy i.e. a transfinite sequence sequence of number-theoretic functions  $F_{\alpha} : \mathbb{N} \to \mathbb{N}$  defined recursively by iteration at successor levels and diagonalization over fundamental sequences at limit levels. We use the following hierarchy:

$$F_0(n) = n+1$$
  

$$F_{\alpha+1}(n) = F_{\alpha}^{n+1}(n)$$
  

$$F_{\alpha}(n) = F_{\alpha[n]}(n) \text{ if } \alpha \text{ is a limit.}$$

It is closely related to the Hardy hierarchy:

$$H_0(n) = n$$
  

$$H_{\alpha+1}(n) = H_{\alpha}(n+1)$$
  

$$H_{\alpha}(n) = H_{\alpha[n]}(n) \text{ if } \alpha \text{ is a limit}$$

Their relationship is as follows:

(1)

for every  $\alpha < \varepsilon_0$ . If  $\alpha = \omega^{\alpha_1} \cdot n_1 + \cdots + \omega^{\alpha_k} \cdot n_k$  is in Cantor normal form and  $\beta < \omega^{\alpha_k+1}$ , then

 $H_{\omega^{\alpha}} = F_{\alpha}$ 

(2) 
$$H_{\alpha+\beta} = H_{\alpha} \circ H_{\beta}.$$

Ketonen and Solovay [6] found an interesting combinatorial characterization of the  $H_{\alpha}$ 's. Call an interval [k, n] 0-large if  $k \leq n, \alpha + 1$ -large if there are  $m, m' \in [k, n]$  such that  $m \neq m'$  and [m, n] and [m', n] are both  $\alpha$ -large; and  $\lambda$ -large (where  $\lambda$  is a limit) if [k, n] is  $\lambda[k]$ -large.

**Theorem 1.4** (Ketonen, Solovay [6]) Let  $\alpha < \varepsilon_0$ .

 $H_{\alpha}(n) = least \ m \ such \ that \ [n,m] \ is \ \alpha$ -large  $F_{\alpha}(n) = least \ m \ such \ that \ [n,m] \ is \ \omega^{\alpha}$ -large.

The order of growth of  $F_{\varepsilon_0}$  is essentially the same as that of the Paris-Harrington function  $F_{PH}$ .

**Definition 1.5** Let X be a finite set of natural numbers and |X| be the number of elements in X. X, is **large** if X if X is non-empty, and, letting s be the least element of X, X has at least s elements. If  $d \in \mathbb{N}$  then  $[X]^d$  denotes the set of all subsets of X of

cardinality d. If  $g : [X]^d \to Y$ , a subset Z of Y is **homogeneous** for g if g is constant on  $[Z]^d$ . Identify  $n \in \mathbb{N}$  with the set  $\{0, \ldots, n-1\}$ .

Let  $a, b, c \in \mathbb{N}$ . Then  $a \to (\text{large})_c^b$  if for every map  $g : [a]^b \to c$ , there is a large homogeneous set for g of cardinality greater than b.

Let  $\sigma(b,c)$  be the least integer a such that  $a \to (\text{large})_c^b$  and  $f_{PH}(n) = \sigma(n,n)$ .

**Theorem 1.6** (i) (Harrington, Paris [10]) The function  $f_{PH}$  dominates all **PA**-provably recursive functions.

(ii) (Ketonen, Solovay [6]) For  $n \ge 20$ :

$$F_{\varepsilon_0}(n-3) \le \sigma(n,8) \le F_{\varepsilon_0}(n-2)$$
  
$$f_{PH}(n) \le F_{\varepsilon_0}(n-1).$$

The computation of  $F_{\alpha}(x)$  is closely connected with the step-down relations of [6] and [13]. For  $\alpha < \beta \leq \varepsilon_0$  we write  $\beta \xrightarrow{n} \alpha$  if for some sequence of ordinals  $\gamma_0, \ldots, \gamma_r$  we have  $\gamma_0 = \beta$ ,  $\gamma_{i+1} = \gamma_i[n]$ , for  $0 \leq i < r$ , and  $\gamma_r = \alpha$ .

**Lemma 1.7** There is a  $\Delta_0$ -formula expressing  $F_{\alpha}(x) = y$  (as a predicate of  $\alpha, x, y$ ).

**Proof**: This is shown in [16, 5.2].

**Lemma 1.8** The following are provable in 
$$I\Sigma_1$$
:

- (i) If  $\beta \xrightarrow{} \alpha$  and  $F_{\beta}(x) \downarrow$ , then  $F_{\alpha}(x) \downarrow$  and  $F_{\beta}(x) \geq F_{\alpha}(x)$ .
- (ii) If  $F_{\beta}(x) \downarrow$  and x > y, then  $F_{\beta}(y) \downarrow$  and  $F_{\beta}(x) > F_{\beta}(y)$ .
- (iii) (i) and (ii) hold with  $H_{\beta}$  and  $H_{\alpha}$  in place of  $F_{\beta}$  and  $F_{\alpha}$ , respectively.

**Proof**: (i) follows by induction on the length r of the sequence  $\gamma_0, \ldots, \gamma_r$  with  $\gamma_0 = \beta$ ,  $\gamma_{i+1} = \gamma_i[n]$ , for  $0 \le i < r$ , and  $\gamma_r = \alpha$ . In the proof one uses the fact that ' $F_{\delta}(x) = y$ ' is  $\Delta_0$  as a relation with arguments  $\delta, x, y$ , and also uses [16, Theorem 5.3] (or rather Claim 1 in Appendix A of [15]).

(ii) follows from [16, Proposition 5.4(v)].

**Lemma 1.9** For all 
$$x < \omega$$
,  $\omega_{x+1} \rightarrow \omega_x + \omega_x$ 

**Proof**: We use induction on x. As  $\omega_1 = \omega$ ,  $\omega_0 = 1$  and  $\omega[2] = 2$  this holds for x = 0. Now suppose x > 0. Note that  $\omega_{x+1} = \omega^{\omega_x}$ , thus we have  $\omega_{x+1}[2] = \omega^{\omega_x[2]}$ . Inductively we also have  $\omega_x[2] \xrightarrow{\sim} \omega_{x-1} + \omega_{x-1}$ . By [6] Lemma 5 (p. 282) we conclude that

(3) 
$$\omega_{x+1}[2] = \omega^{\omega_x[2]} \xrightarrow{} \omega^{\omega_{x-1}+\omega_{x-1}}$$

We also have  $\omega_{x-1} + \omega_{x-1} \xrightarrow{\sim} \omega_{x-1} + 1$  by [6] Lemma 1 (p. 281), and hence

(4) 
$$\omega^{\omega_{x-1}+\omega_{x-1}} \xrightarrow{} \omega^{\omega_{x-1}+1},$$

using [6] Lemma 5 (p.282) again. As  $\omega^{\omega_{x-1}+1} \xrightarrow{2} \omega^{\omega_{x-1}} \cdot 2 = \omega^{\omega_{x-1}} + \omega^{\omega_{x-1}} = \omega_x + \omega_x$ , it follows from (3) and (4), owing to the transitivity of  $\xrightarrow{2}$ , that

$$\omega_{x+1} \xrightarrow{2} \omega_x + \omega_x.$$

**Corollary 1.10** For all integers x and  $y \ge 2$  we have: (i)  $\varepsilon_0[x+1] \xrightarrow{2} \varepsilon_0[x] + \varepsilon_0[x]$ .

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(\*)

(ii) 
$$F_{\varepsilon_0[x+1]}(y+1) > F_{\varepsilon_0[x+1]}(y) \ge F_{\varepsilon_0[x]+1}(y) \ge F_{\varepsilon_0[x]}(F_{\varepsilon_0[x]}(y)).$$

**Proof**: As  $\varepsilon_0[u] = \omega_{u+1}$ , (i) is a consequence of Lemma 1.9.

We have

$$\begin{split} F_{\varepsilon_0[x]}(F_{\varepsilon_0[x]}(y)) &= H_{\omega^{\varepsilon_0[x]}}(H_{\omega^{\varepsilon_0[x]}}(y)) = H_{\omega^{\varepsilon_0[x]} + \omega^{\varepsilon_0[x]}}(y) \stackrel{(\vee)}{\leq} H_{\omega^{\varepsilon_0[x+1]}}(y) \\ \stackrel{(**)}{=} F_{\varepsilon_0[x+1]}(y) \stackrel{(***)}{<} F_{\varepsilon_0[x+1]}(y+1). \end{split}$$

Here the first and second equality hold by (1) and (2), respectively. (\*) follows from (i) with the help of Lemma 1.8(iii) since

$$\omega^{\varepsilon_0[x+1]} = \varepsilon_0[x+2] \xrightarrow[]{} \varepsilon_0[x+1] + \varepsilon_0[x+1] = \omega^{\varepsilon_0[x]} + \omega^{\varepsilon_0[x]}$$

(\*\*) is again a consequence of (1) whilst (\*\*\*) follows from Lemma 1.8(ii).

## 2 Slow consistency

To motivate our notion of slow consistency we recall the concept of interpretability of one theory in another theory. Let S and S' be arbitrary theories. S' is **interpretable** in S or S interprets S' (in symbols  $S' \triangleleft S$ ) "if roughly speaking, the primitive concepts and the range of the variables of S' are defined in such a way as to turn every theorem of S' into a theorem of S" (quoted from [8] p. 96; for details see [8, section 6]).

To simplify matters, we restrict attention to theories T formulated in the language of **PA** which contain the axioms of **PA** and have a primitive recursive axiomatization, i.e. the axioms are enumerated by such a function. For an integer  $k \ge 0$ , we denote by  $T \upharpoonright_k$  the theory consisting of the first k axioms of T. Let  $\operatorname{Con}(T)$  be the arithmetized statement that T is consistent.

A theory T is **reflexive** if it proves the consistency of all its finite subtheories, i.e.  $T \vdash \text{Con}(T \restriction_k)$  for all  $k \in \mathbb{N}$ . Note that theories satisfying the conditions spelled out above will always be reflexive.

Another interesting relationship between theories we shall consider is  $T_1 \subseteq_{\Pi_1^0} T_2$ , i.e. every  $\Pi_1^0$  theorem of  $T_1$  is also a theorem of  $T_2$ .

**Theorem 2.1** Let S, T be theories that satisfy the conditions spelled out above. Then:

(5) 
$$S \triangleleft T$$
 if and only if  $T \vdash \operatorname{Con}(S \upharpoonright_n)$  holds for all  $n \in \mathbb{N}$ 

(6) if and only if 
$$S \subseteq_{\Pi^0} T$$
.

**Proof**: (5) seems to be due to Orey [9]. Another easily accessible proof of (5) can be found in [8, Section 6, Theorem 5]. (6) was first stated in [5] and [7]. A proof can also be found in [8, Section 6, Theorem 6].  $\Box$ 

We know that

$$\operatorname{Con}(\mathbf{PA}) \leftrightarrow \forall x \operatorname{Con}(\mathbf{PA} \upharpoonright_x)$$

Given a function  $f : \mathbb{N} \to \mathbb{N}$  (say provably total in **PA**) we are thus led to the following consistency statement:

(7) 
$$\operatorname{Con}_f(\mathbf{PA}) := \forall x \operatorname{Con}(\mathbf{PA} \upharpoonright_{f(x)}).$$

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It is perhaps worth pointing out that the exact meaning of  $\operatorname{Con}_f(\mathbf{PA})$  depends on the representation that we choose for f.

Statements of the form (7) are interesting only if the function f grows extremely slowly, though still has an infinite range but **PA** cannot prove that fact.

### **Definition 2.2** Define

$$F_{\varepsilon_0}^{-1}(n) = \max(\{k \le n \mid \exists y \le n F_{\varepsilon_0}(k) = y\} \cup \{0\}).$$

Note that, by Lemma 1.7, the graph of  $F_{\varepsilon_0}^{-1}$  has a  $\Delta_0$  definition. Thus it follows that  $F_{\varepsilon_0}^{-1}$  is a provably recursive function of **PA**. Let  $\operatorname{Con}^*(\mathbf{PA})$  be the statement  $\forall x \operatorname{Con}(\mathbf{PA} \upharpoonright_{\varepsilon_0}^{-1}(x))$ . Of course, in the definition of

Let  $\operatorname{Con}^*(\mathbf{PA})$  be the statement  $\forall x \operatorname{Con}(\mathbf{PA} \upharpoonright_{F_{\varepsilon_0}^{-1}(x)})$ . Of course, in the definition of  $\operatorname{Con}^*(\mathbf{PA})$  we have in mind some standard representation of  $F_{\varepsilon_0}$  referred to in Lemma 1.7. Note that  $\operatorname{Con}^*(\mathbf{PA})$  is equivalent to the statement

$$\forall x \left[ F_{\varepsilon_0}(x) \downarrow \to \operatorname{Con}(\mathbf{PA} \upharpoonright_x) \right].$$

#### **Proposition 2.3 PA** $\nvdash$ Con<sup>\*</sup>(**PA**).

**Proof**: Aiming at a contradiction, suppose  $\mathbf{PA} \vdash \operatorname{Con}^*(\mathbf{PA})$ . Then  $\mathbf{PA} \upharpoonright_k \vdash \operatorname{Con}^*(\mathbf{PA})$ for all sufficiently large k. As  $\mathbf{PA} \upharpoonright_k \vdash F_{\varepsilon_0}(k) \downarrow$  on account of  $F_{\varepsilon_0}(k) \downarrow$  being a true  $\Sigma_1$ statement, we arrive at  $\mathbf{PA} \upharpoonright_k \vdash \operatorname{Con}(\mathbf{PA} \upharpoonright_k)$ , contradicting Gödel's second incompleteness theorem.  $\Box$ 

Proposition 2.3 holds in more generality.

**Corollary 2.4** If T is a recursive consistent extension of **PA** and f is a total recursive function with unbounded range, then

$$T \not\vdash \forall x \operatorname{Con}(T \restriction_{f(x)})$$

where  $f(x) \downarrow$  is understood to be formalized via some  $\Sigma_1$  representation of f.

**Proof**: Basically the same proof as for Proposition 2.3.

It is quite natural to consider another version of slow consistency where the function  $f : \mathbb{N} \to \mathbb{N}$ , rather than acting as a bound on the fragments of **PA**, restricts the lengths of proofs. Let  $\bot$  be a Gödel number of the canonical inconsistency and let  $\operatorname{Proof}_{\mathbf{PA}}(y, z)$  be the primitive recursive predicate expressing the concept that "y is the Gödel number of a proof in **PA** of a formula with Gödel number z".

(8) 
$$\operatorname{Con}_{f}^{\ell}(\mathbf{PA}) := \forall x \,\forall y < f(x) \,\neg \operatorname{Proof}_{\mathbf{PA}}(y, \bot)$$

Let  $\operatorname{Con}^{\#}(\mathbf{PA})$  be the statement  $\operatorname{Con}_{F_{\varepsilon_0}}^{\ell}(\mathbf{PA})$ .

Note that  $\operatorname{Con}^{\#}(\mathbf{PA})$  is equivalent to the following formula:

 $\forall u [F_{\varepsilon_0}(u) \downarrow \rightarrow \forall y < u \neg \operatorname{Proof}_{\mathbf{PA}}(y, \bot)].$ 

As it turns out, by contrast with  $\operatorname{Con}^*(\mathbf{PA})$ ,  $\operatorname{Con}^{\#}(\mathbf{PA})$  is not very interesting.

### Lemma 2.5 $\mathbf{PA} \vdash \mathrm{Con}^{\#}(\mathbf{PA})$ .

**Proof**: First recall that Gentzen showed how to effectively transform an alleged **PA**-proof of an inconsistency (the empty sequent) in his sequent calculus into another proof of the empty sequent such that the latter gets assigned a smaller ordinal than the former. More precisely, there is a reduction procedure  $\mathcal{R}$  on proofs P of the empty sequent

П

together with an assignment *ord* of representations for ordinals  $\langle \varepsilon_0$  to proofs such that  $ord(\mathcal{R}(P)) \prec ord(P)$ . Here  $\prec$  is the ordering on ordinal representations induced by the ordering  $\langle$  of the pertaining ordinals. The functions  $\mathcal{R}$  and *ord* and the relation  $\succ$  are primitive recursive (when viewed as acting on codes for the syntactic objects). With  $g(n) = ord(\mathcal{R}^n(P))$ , the *n*-fold iteration of  $\mathcal{R}$  applied to *P*, one has  $g(0) \succ g(1) \succ g(2) \succ \ldots \succ g(n)$  for all *n*, which is absurd as the ordinals are well-founded.

We will now argue in **PA**. Suppose that  $F_{\varepsilon_0}(u) \downarrow$ . Aiming at a contradiction assume that there is a p < u such that  $\operatorname{Proof}_{\mathbf{PA}}(p, \bot)$ . We have not said anything about the particular proof predicate  $\operatorname{Proof}_{\mathbf{PA}}$  we use, however, whatever proof system is assumed, p will be larger than the Gödel numbers of all formulae occurring in the proof. The proof that p codes, can be primitive recursively transformed into a sequent calculus proof Pof the empty sequent in such a way that  $ord(P) < \omega_p$  since p is larger than the number of logical symbols occurring in any cut or induction formulae featuring in P (for details see [17, Ch.2]). Inspection of Gentzen's proof, as e.g. presented in [17, 2.12.8], shows there is a primitive recursive function  $\ell$  such that the number of steps it takes to get from ord(P) to 0 by applying the reduction procedure  $\mathcal{R}$  is majorized by  $\ell(F_{\varepsilon_0}(u))$ . As a result we have a contradiction since there is no proof  $P_0$  of the empty sequent with ordinal  $ord(P_0) = 0$ .

The authors realize that the foregoing proof is merely a sketch. An alternative proof can be obtained by harking back to [1]. The reader will be assumed to have access to [1]. That paper uses an infinitary proof system with the  $\omega$ -rule (of course). But this system is also quite peculiar in that the ordinal assignment adhered to is very rigid and, crucially, it has a so-called accumulation rule. To deal with infinite proofs in **PA**, though, one has to use primitive recursive proof trees instead of arbitrary ones (for details see [3]). The role of the repetition rule (or trivial rule) (cf. [3]) is of central importance to capturing the usual operations on proofs, such as inversion and cut elimination, by primitive recursive functions acting on their codes. In the proof system of [1] the accumulation rule takes over this role. Now assume that everything in [1] has been recast in terms of primitive recursive proof trees. Then the cut elimination for infinitary proofs with finite cut rank (as presented in [3, Theorem 2.19]) can be formalized in **PA**. Working in **PA**, suppose that  $F_{\varepsilon_0}(u) \downarrow$ . Aiming at a contradiction assume that there is a p < u such that  $\operatorname{Proof}_{\mathbf{PA}}(p,\perp)$ . As above, the proof that p codes, can be primitive recursively transformed into a proof P of  $\perp$  in the sequent calculus of [1] with ordinal  $\omega_p$  and cut-degree 0 (in the sense of [1, Definition 5]). The plan is to reach a contradiction by constructing an infinite descending sequence of ordinals  $(\alpha_i)_{i \in \mathbb{N}}$  such that  $\alpha_0 = \omega_p$  and  $\alpha_{i+1} <_{l_{i+1}} \alpha_i$ for some  $l_{i+1} < F_{\omega_p}(2)$ . This is absurd since it implies that  $F_{\alpha_i}(k^*) > F_{\alpha_{i+1}}(k^*)$  where  $k^* = F_{\omega_p}(2)$ . The definedness of  $F_{\alpha_i}(k^*)$  follows from the following facts:  $F_{\varepsilon_0}(u) \downarrow$  implies  $F_{\varepsilon_0}(p-1)\downarrow$  and hence  $F_{\omega_p}(p-1)\downarrow$ , thus  $F_{\omega_p}(2)\downarrow$  by [16, 5.4(v)]. By induction on i, using [16, 5.3)] as well as [16, 5.4(v)], one concludes that  $F_{\alpha_i}(l_{i+1}) \downarrow$  for all *i*.

It remains to determine  $(\alpha_i)_{i\in\mathbb{N}}$ . To this end we construct a branch of the prooftree P with  $\vdash^{\alpha_i} \Delta_i, \Gamma_i$  being the *i*-th node of the branch (bottom-up). The sequent  $\Gamma_i$ contains only closed elementary prime formulas and formulas of the form  $n \in N$  whereas  $\Delta_i$  is of the form  $\{n_1 \notin N, \ldots, n_r \notin N\}$  or  $\emptyset$ . We set  $k_{\Delta_i} := \max(\{2\} \cup \{3 \cdot n_1, \ldots, 3 \cdot n_r\})$ in the former and  $k_{\Delta_i} := 2$  in the latter case. We say that  $\Gamma_i$  is true in m if  $\Gamma_i$  is true when N is interpreted as the finite set  $\{n \mid 3 \cdot n < m\}$ . Let  $\Gamma_0 = \{0 = 1\}$  and  $\Delta_0 = \emptyset$ . Clearly,  $\Gamma_0$  is false in  $F_{\alpha_0}(2)$ . Now assume  $\vdash^{\alpha_i} \Delta_i, \Gamma_i$  has been constructed in such a way that  $\Gamma_i$  is false in  $F_{\alpha_i}(k_{\Delta_i})$  and  $F_{\alpha_i}(k_{\Delta_i}) \leq F_{\alpha_0}(2)$ . Since  $\Gamma_i$  is false in  $F_{\alpha_i}(k_{\Delta_i})$ 

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and  $F_{\alpha_i}(k_{\Delta_i}) > k_{\Delta_i}$ , it follows that  $\Delta_i, \Gamma_i$  is not an axiom. Thus  $\vdash^{\alpha_i} \Delta_i, \Gamma_i$  is not an end-node in P and therefore it is the result of an application of an inference rule. As the cut-rank of P is 0, the only possible rules are a cut rank 0, an N-rule, and Accumulation.

If it is an *N*-rule,  $\Gamma_i$  contains " $Sn \in N$ " for some n and  $\vdash^{\beta} \Delta_i, \Gamma'_i, n \in N$  will be a node in *P* immediately above  $\vdash^{\alpha_i} \Delta_i, \Gamma_i$  with  $\Gamma'_i \subseteq \Gamma_i$  and  $\beta + 1 = \alpha_i$ . We let  $\alpha_{i+1} = \beta, l_{i+1} = 1, \Delta_{i+1} = \Delta_i$  and  $\Gamma_{i+1} = \Gamma_i, n \in N$ . Since  $\Gamma_i$  is false in  $F_{\alpha_i}(k_{\Delta_i})$  and  $F_{\alpha_{i+1}}(k_{\Delta_i}) + 3 \leq F_{\alpha_i}(k_{\Delta_i})$  it follows that  $\Gamma_{i+1}$  is false in  $F_{\alpha_i}(k_{\Delta_{i+1}})$ .

If the last rule is Accumulation,  $\vdash^{\beta} \Delta_i$ ,  $\Gamma_i$  will be a node in P immediately above  $\vdash^{\alpha_i} \Delta_i$ ,  $\Gamma_i$  for some  $\beta <_{k_{\Delta_i}} \alpha_i$ . Then let  $\Delta_{i+1} = \Delta_i$ ,  $\Gamma_{i+1} = \Gamma_i$ ,  $\alpha_{i+1} = \beta$ , and  $l_{i+1} = k_{\Delta_i}$ . Since  $F_{\beta}(k_{\Delta_i}) \leq F_{\alpha_i}(k_{\Delta_i})$ ,  $\Gamma_{i+1}$  is false in  $F_{\alpha_{i+1}}(k_{\Delta_{i+1}})$ , too. Inductively we also have  $F_{\alpha_i}(k_{\Delta_i}) \leq F_{\alpha_0}(2)$ , and hence  $l_{i+1} < F_{\alpha_0}(2)$ .

If the last rule is a cut with a closed elementary prime formula A, the immediate nodes above  $\vdash^{\alpha_i} \Delta_i, \Gamma_i$  in P are of the form  $\vdash^{\beta} \Delta_i, \Gamma_i, A$  and  $\vdash^{\beta} \Delta_i, \Gamma_i, \neg A$ , respectively, where  $\beta + 1 = \alpha_i$ . Let  $\Delta_{i+1} = \Delta_i, \alpha_{i+1} = \beta$ , and  $l_{i+1} = 1$ . If A is false let  $\Gamma_{i+1} = \Gamma_i, A$ . If A is true, let  $\Gamma_{i+1} = \Gamma_i, \neg A$ . Clearly,  $\Gamma_{i+1}$  will be false in  $F_{\alpha_{i+1}}(k_{\Delta_{i+1}})$  since this value is smaller than  $F_{\alpha_i}(k_{\Delta_i})$ .

Finally suppose the last rule is a cut with cut formula " $n \in N$ ". Then the immediate nodes above  $\vdash^{\alpha_i} \Delta_i, \Gamma_i$  in P are of the form  $\vdash^{\beta} \Delta_i, n \in N, \Gamma_i$  and  $\vdash^{\beta} \Delta_i, n \notin N, \Gamma_i$ , respectively, where  $\beta + 1 = \alpha_i$ . Set  $\alpha_{i+1} = \beta$  and and  $l_{i+1} = 1$ . If  $F_{\beta}(k_{\Delta_i}) \leq 3 \cdot n$ , then " $n \in N$ " will be false in  $F_{\beta}(k_{\Delta_i})$ , and hence, as  $F_{\beta}(k_{\Delta_i}) < F_{\alpha_i}(k_{\Delta_i})$ , it follows that  $n \in N, \Gamma_i$  will be false in  $F_{\beta}(k_{\Delta_i})$  as well. So in this case let  $\Delta_{i+1} = \Delta_i$  and  $\Gamma_{i+1} = n \in N, \Gamma_i$ .

If on the other hand  $3 \cdot n < F_{\beta}(k_{\Delta_i})$ , we compute that

$$F_{\beta}(k_{\Delta_i, n \notin N}) < F_{\beta}(F_{\beta}(k_{\Delta_i})) \le F_{\alpha_i}((k_{\Delta_i})).$$

Hence  $\Gamma_i$  will be false in  $F_{\beta}(k_{\Delta_i,n\notin N})$ , and we put  $\Delta_{i+1} = \Delta_i, n \notin N$  and  $\Gamma_{i+1} = \Gamma_i$ .

The next goal will be to show that  $Con(\mathbf{PA})$  is not derivable in  $\mathbf{PA} + Con^*(\mathbf{PA})$ . We need some preparatory definitions.

**Definition 2.6** Let *E* denote the "stack of two's" function, i.e. E(0) = 0 and  $E(n+1) = 2^{E(n)}$ .

Given two elements a and b of a non-standard model  $\mathfrak{M}$  of **PA**, we say that 'b is **much larger than** a' if for every standard integer k we have  $E^k(a) < b$ .

If  $\mathfrak{M}$  is a model of **PA** and  $\mathfrak{I}$  is a substructure of  $\mathfrak{M}$  we say that  $\mathfrak{I}$  is an **initial segment** of  $\mathfrak{M}$ , if for all  $a \in |\mathfrak{I}|$  and  $x \in |\mathfrak{M}|, \mathfrak{M} \models x < a$  implies  $x \in |\mathfrak{I}|$ . We will write  $\mathfrak{I} < b$  to mean  $b \in |\mathfrak{M}| \setminus |\mathfrak{I}|$ . Sometimes we write  $a < \mathfrak{I}$  to indicate  $a \in |\mathfrak{I}|$ .

**Theorem 2.7** Let  $\mathfrak{N}$  be a non-standard model of **PA** (or  $\Delta_0(\exp)$ ), *n* be a standard integer, and  $e, d \in |\mathfrak{N}|$  be non-standard such that  $\mathfrak{N} \models F_{\omega_n^e}(e) = d$ . Then there is an initial segment  $\mathfrak{I}$  of  $\mathfrak{N}$  such  $e < \mathfrak{I} < d$  and  $\mathfrak{I}$  is a model of  $\prod_{n+1}$ -induction.

**Proof**: This follows e.g. from [16, Theorem 5.25], letting  $\alpha = 0$ , c = e, a = e and b = d. The technique used to prove Theorem 5.25 in [16] is a variation of techniques used by Paris in [12].

**Corollary 2.8** Let  $\mathfrak{N}$  be a non-standard model of  $\mathbf{PA}$ ,  $e, r \in |\mathfrak{N}|$  be non-standard such that  $\mathfrak{N} \models F_{\varepsilon_0}(e) = r$ . Then for every standard n there is an initial segment  $\mathfrak{I}$  of  $\mathfrak{M}$  such  $e < \mathfrak{I} < r$  and  $\mathfrak{I}$  is a model of  $\Pi_{n+1}$ -induction.

**Proof:** In view of the previous Theorem we just have to ensure that  $F_{\omega_n^e}^{\mathfrak{N}}(e) \downarrow$ , i.e.,  $\mathfrak{N} \models F_{\omega_n^e}(e) = d$  for some d with  $d \leq r$ . To show this we utilize the fact that the computation of  $F_{\alpha}(x)$  is closely connected with the step-down relation  $\beta \xrightarrow{n} \alpha$ .

In what follows we argue in  $\mathfrak{N}$ . By induction on x one readily verifies that  $\varepsilon_0[x] = \omega_x^{\omega}$ . By [6, Theorem 2.4] we have  $\varepsilon_0[x] \xrightarrow{} \varepsilon_0[y]$  whenever x > y. As  $\varepsilon_0[0] = \omega$  and  $\omega \xrightarrow{} e$  we arrive at  $\varepsilon_0[x] \xrightarrow{} e$  for all x by [6] (Proposition (a), p. 281). Thus  $\varepsilon_0[e-n] \xrightarrow{} e$ , so that by iterated applications of [6] Lemma 5 (p. 282), we get

$$\varepsilon_0[e] = \omega_n^{\varepsilon_0[e-n]} \xrightarrow[a]{} \omega_n^e.$$

By [6] (Proposition (d), p. 283), the latter yields  $F_{\omega_n^e}(e) \downarrow$  and  $F_{\varepsilon_0}(e) \ge F_{\omega_n^e}(e)$ .

**Definition 2.9** Below we shall need the notion of two models  $\mathfrak{M}$  and  $\mathfrak{N}$  of **PA** 'agreeing up to e'. For this to hold, the following conditions must be met:

- (1) e belongs to both models.
- (2) e has the same predecessors in both  $\mathfrak{M}$  and  $\mathfrak{N}$ .
- (3) If  $d_0, d_1$ , and c are  $\leq e$  (in one of the models  $\mathfrak{M}$  and  $\mathfrak{N}$ ), then  $\mathfrak{M} \models d_0 + d_1 = c$  iff  $\mathfrak{N} \models d_0 + d_1 = c$ .
- (4) If  $d_0, d_1$ , and c are  $\leq e$  (in one of the models  $\mathfrak{M}$  and  $\mathfrak{N}$ ), then  $\mathfrak{M} \models d_0 \cdot d_1 = c$  iff  $\mathfrak{N} \models d_0 \cdot d_1 = c$ .

If  $\mathfrak{M}$  and  $\mathfrak{N}$  agree up to  $e, d \leq e$  and  $\theta(x)$  is a  $\Delta_0$  formula, it follows that  $\mathfrak{M} \models \theta(d)$  iff  $\mathfrak{N} \models \theta(d)$  (cf. [2, Proposition 1]).

Theorem 2.10  $\mathbf{PA} + \mathrm{Con}^*(\mathbf{PA}) \not\vdash \mathrm{Con}(\mathbf{PA})$ .

**Proof**: Let  $\mathfrak{M}$  be a countable non-standard model of  $\mathbf{PA} + F_{\varepsilon_0}$  is total. Let M be the domain of  $\mathfrak{M}$  and  $a \in M$  be non-standard. Moreover, let  $e = F_{\varepsilon_0}^{\mathfrak{M}}(a)$ . As a result of the standing assumption,  $\mathfrak{M} \models \operatorname{Con}(\mathbf{PA} \restriction_a)$ . Owing to a result of Solovay's [14, Theorem 1.1], there exists a countable model  $\mathfrak{N}$  of  $\mathbf{PA}$  such that:

- (i)  $\mathfrak{M}$  and  $\mathfrak{N}$  agree up to e (in the sense of Definition 2.9).
- (ii)  $\mathfrak{N}$  thinks that  $\mathbf{PA} \upharpoonright_a$  is consistent.
- (iii)  $\mathfrak{N}$  thinks that  $\mathbf{PA} \upharpoonright_{a+1}$  is inconsistent. In fact there is a proof of 0 = 1 from  $\mathbf{PA} \upharpoonright_{a+1}$  whose Gödel number is less than  $2^{2^e}$  (as computed in  $\mathfrak{N}$ ).

In actuality, to be able to apply [14, Theorem 1.1] we have to ensure that e is much larger than a, i.e.,  $E^k(a) < e$  for every standard number k. It follows from [6, p. 269] that  $E(s) \leq F_3(s)$  holds for all non-standard elements s of  $\mathfrak{M}$  and hence

$$E^{k}(s) \le F_{3}^{k}(s) \le F_{3}^{s}(s) \le F_{4}(s) < F_{\varepsilon_{0}}(s),$$

so that  $E^k(a) < e$  holds for all standard k, leading to e being much larger than a. We will now distinguish two cases.

**Case 1**:  $\mathfrak{N} \models F_{\varepsilon_0}(a+1) \uparrow$ . Then also  $\mathfrak{N} \models F_{\varepsilon_0}(d) \uparrow$  for all d > a by Lemma 1.8(ii). Hence, in light of (ii),  $\mathfrak{N} \models \operatorname{Con}^*(\mathbf{PA})$ . As (iii) yields  $\mathfrak{N} \models \neg \operatorname{Con}(\mathbf{PA})$ , we have

(9) 
$$\mathfrak{N} \models \mathbf{PA} + \mathrm{Con}^*(\mathbf{PA}) + \neg \mathrm{Con}(\mathbf{PA}).$$

**Case 2:**  $\mathfrak{N} \models F_{\varepsilon_0}(a+1) \downarrow$ . We then also have  $e = F_{\varepsilon_0}^{\mathfrak{N}}(a)$ , for  $\mathfrak{M}$  and  $\mathfrak{N}$  agree up to e and the formula  $F_{\varepsilon_0}(x) = y$  is  $\Delta_0$  by Lemma 1.7. Let  $c := F_{\varepsilon_0}^{\mathfrak{N}}(a+1)$ .

In view of Theorem 2.7 we just have to ensure that for each standard n,  $F_{\omega_n^e}^{\mathfrak{N}}(e) \downarrow$  with value not bigger than c, i.e.,  $\mathfrak{N} \models F_{\omega_n^e}(e) = d$  for some d with  $d \leq c$ . To show this

we utilize Corollary 1.10. In what follows we argue in  $\mathfrak{N}$ . By [**6**, Theorem 2.4] we have  $\varepsilon_0[x] \xrightarrow{1} \varepsilon_0[y]$  whenever x > y. As  $\varepsilon_0[0] = \omega$  and  $\omega \xrightarrow{e} e$  we arrive at  $\varepsilon_0[x] \xrightarrow{e} e$  for all x by [**6**] (Proposition (a), p. 281). Thus  $\varepsilon_0[a-n] \xrightarrow{e} e$ , so that by repeated applications of [**6**] Lemma 5 (p. 282), we have

(10) 
$$\varepsilon_0[a] = \omega_n^{\varepsilon_0[a-n]} \xrightarrow{e} \omega_n^e$$

By Corollary 1.10 we have

(11)  $c = F_{\varepsilon_0[a+1]}(a+1) \ge F_{\varepsilon_0[a]+1}(a) \ge F_{\varepsilon_0[a]}(F_{\varepsilon_0[a]}(a)) = F_{\varepsilon_0[a]}(e).$ 

In particular,  $F_{\varepsilon_0[a]}(e) \downarrow$ . By [6] (Proposition (d), p. 283), the latter together with (10) and (11) entails that  $F_{\omega_n^e}(e) \downarrow$  and  $c \geq F_{\omega_n^e}(e)$ .

As a consequence of Theorem 2.7 there is thus an initial segment  $\mathfrak{I}$  of  $\mathfrak{N}$  such  $e < \mathfrak{I} < c$ and  $\mathfrak{I}$  is a model of  $\Pi_{n+1}$ -induction. Moreover, it follows from the properties of  $\mathfrak{N}$  and the fact that  $2^{2^e} < \mathfrak{I}$ , that

- (1)  $\Im$  thinks that **PA**  $\upharpoonright_a$  is consistent.
- (2)  $\Im$  thinks that **PA**  $\upharpoonright_{a+1}$  is inconsistent.
- (3)  $\Im$  thinks that  $F_{\varepsilon_0}(a+1)$  is not defined.

Consequently,  $\mathfrak{I} \models \operatorname{Con}^*(\mathbf{PA}) + \neg \operatorname{Con}(\mathbf{PA}) + \prod_{n+1}$ -induction. Since *n* was arbitrary, this shows that  $\mathbf{PA} + \operatorname{Con}^*(\mathbf{PA}) + \neg \operatorname{Con}(\mathbf{PA})$  is a consistent theory.  $\Box$ 

Proposition 2.3 and Theorem 2.10 can be extended to theories  $\mathbf{T} = \mathbf{P}\mathbf{A} + \psi$  where  $\psi$  is a true  $\Pi_1^0$  statement.

**Theorem 2.11** Let  $\mathbf{T} = \mathbf{PA} + \psi$  where  $\psi$  is a  $\Pi_1$  statement such that  $T + F_{\varepsilon_0}$  is total' is a consistent theory. Let  $\mathbf{T} \upharpoonright_k$  to be the theory  $\mathbf{PA} \upharpoonright_k + \psi$  and  $\operatorname{Con}^*(\mathbf{T}) := \forall x \operatorname{Con}(\mathbf{T} \upharpoonright_{F_{\varepsilon_0}^{-1}(x)})$ . Then the strength of  $\mathbf{T} + \operatorname{Con}^*(T)$  is strictly between  $\mathbf{T}$  and  $\mathbf{T} + \operatorname{Con}(\mathbf{T})$ , i.e.

- (i)  $\mathbf{T} \not\vdash \operatorname{Con}^*(\mathbf{T})$ .
- (ii)  $\mathbf{T} + \operatorname{Con}^*(\mathbf{T}) \nvDash \operatorname{Con}(\mathbf{T}).$
- (iii)  $\mathbf{T} + \operatorname{Con}(\mathbf{T}) \vdash \operatorname{Con}^*(\mathbf{T}).$

**Proof**: For (i) the same proof as in Proposition 2.3 works with **PA** replaced by **T**. (iii) is obvious. For (ii) note that Solovay's Theorem also works for **T** so that the proof of case 1 of Theorem 2.10 can be copied. To deal with case 2, observe that  $\mathfrak{I} \models \psi$  since  $\psi$  is  $\Pi_1, \mathfrak{N} \models \psi$  and  $\mathfrak{I}$  is an initial segment of  $\mathfrak{N}$ .

The methods of Theorem 2.10 can also be used to produce two 'natural' slow growing functions f and g such that the theories  $\mathbf{PA} + \operatorname{Con}_f(\mathbf{PA})$  and  $\mathbf{PA} + \operatorname{Con}_g(\mathbf{PA})$  are mutually non-interpretable in each other.

**Definition 2.12** The even and odd parts of  $F_{\varepsilon_0}$  are defined as follows:

$$\begin{split} F_{\varepsilon_0}^{even}(2n) &= F_{\varepsilon_0}(2n), \qquad F_{\varepsilon_0}^{even}(2n+1) = F_{\varepsilon_0}(2n) + 1\,, \\ F_{\varepsilon_0}^{odd}(2n+1) &= F_{\varepsilon_0}(2n+1), \qquad F_{\varepsilon_0}^{odd}(2n) = F_{\varepsilon_0}(2n+1) + 1 \text{ if } n > 0. \\ f(n) &= \max(\{k \le n \mid \exists y \le n \, F_{\varepsilon_0}^{even}(k) = y\} \,\cup\, \{0\}) \\ g(n) &= \max(\{k \le n \mid \exists y \le n \, F_{\varepsilon_0}^{odd}(k) = y\} \,\cup\, \{0\}). \end{split}$$

By Lemma 1.7, the graphs of f and g are  $\Delta_0$  and both functions are provably recursive functions of **PA**.

**Remark 2.13** In a much more elaborate form, the method of defining variants of a given computable functions (such as  $F_{\varepsilon_0}$ ) in a piecewise manner has been employed in [11] to obtain results about degree structures of computable functions and in [4] to obtain forcing-like results about provably recursive functions.

Theorem 2.14 (i)  $\mathbf{PA} + \operatorname{Con}_{f}(\mathbf{PA}) \not\vdash \operatorname{Con}_{g}(\mathbf{PA})$ . (ii)  $\mathbf{PA} + \operatorname{Con}_{g}(\mathbf{PA}) \not\vdash \operatorname{Con}_{f}(\mathbf{PA})$ .

**Proof**: (i) The proof is a variant of that of Theorem 2.10. Let  $\mathfrak{M}$  be a countable non-standard model of  $\mathbf{PA} + F_{\varepsilon_0}$  is total. Let M be the domain of  $\mathfrak{M}$  and  $a \in M$  be non-standard such that  $\mathfrak{M}$  thinks that a is odd. Let  $e = F_{\varepsilon_0}^{\mathfrak{M}}(a)$ . As before, there exists a countable model  $\mathfrak{N}$  of  $\mathbf{PA}$  such that:

- (i)  $\mathfrak{M}$  and  $\mathfrak{N}$  agree up to e.
- (ii)  $\mathfrak{N}$  thinks that  $\mathbf{PA} \upharpoonright_a$  is consistent.
- (iii)  $\mathfrak{N}$  thinks that  $\mathbf{PA} \upharpoonright_{a+1}$  is inconsistent. In fact there is a proof of 0 = 1 from  $\mathbf{PA} \upharpoonright_{a+1}$  whose Gödel number is less than  $2^{2^e}$  (as computed in  $\mathfrak{N}$ ).

Again we distinguish two cases.

**Case 1**:  $\mathfrak{N} \models F_{\varepsilon_0}(a+1) \uparrow$ . Then also  $\mathfrak{N} \models F_{\varepsilon_0}(d) \uparrow$  for all d > a by Lemma 1.8(ii). Since  $\mathfrak{M}$  thinks that a+1 is even, so does  $\mathfrak{N}$ , as both models agree up to e. Thus  $\mathfrak{N} \models F_{\varepsilon_0}^{even}(d) \uparrow$  for all d > a. As a result,  $\mathfrak{N} \models \forall x f(x) \leq a$ , and hence,  $\mathfrak{N} \models \operatorname{Con}_f(\mathbf{PA})$ . On the other hand, since  $\mathfrak{N} \models F_{\varepsilon_0}^{odd}(a+1) = e+1$  and  $\mathfrak{N}$  thinks that  $\mathbf{PA} \upharpoonright_{a+1}$  is inconsistent, it follows that  $\mathfrak{N} \not\models \operatorname{Con}_g(\mathbf{PA})$ .

**Case 2:**  $\mathfrak{N} \models F_{\varepsilon_0}(a+1) \downarrow$ . As in the proof of Theorem 2.10, letting  $c := F_{\varepsilon_0}^{\mathfrak{N}}(a+1)$ , for each *n* we find an initial segment  $\mathfrak{I}$  of  $\mathfrak{N}$  such  $e < \mathfrak{I} < c$  and  $\mathfrak{I}$  is a model of  $\Pi_{n+1}$ -induction. Moreover, it follows from the properties of  $\mathfrak{N}$  and the fact that  $2^{2^e} < \mathfrak{I}$ , that

- (1)  $\mathfrak{I}$  thinks that  $\mathbf{PA} \upharpoonright_a$  is consistent.
- (2)  $\Im$  thinks that **PA** $\upharpoonright_{a+1}$  is inconsistent.
- (3)  $\Im$  thinks that  $F_{\varepsilon_0}(a+1)$  is not defined.

Consequently as  $\mathfrak{I}$  thinks that a + 1 is even,  $\mathfrak{I} \models \forall x f(x) \leq a$ , whence  $\mathfrak{I} \models \operatorname{Con}_f(\mathbf{PA})$ . On the other hand, since  $\mathfrak{I} \models F_{\varepsilon_0}^{odd}(a+1) = e+1$ , we also have that  $\mathfrak{N} \not\models \operatorname{Con}_g(\mathbf{PA})$ . Since *n* was arbitrary, this shows that  $\mathbf{PA} + \operatorname{Con}_f(\mathbf{PA}) + \neg \operatorname{Con}_g(\mathbf{PA})$  is a consistent theory.

(ii). The argument is completely analogous, the only difference being that we start with a non-standard  $a \in M$  such that  $\mathfrak{M}$  thinks that a is even.

**Corollary 2.15** Neither is  $\mathbf{PA} + \operatorname{Con}_f(\mathbf{PA})$  interpretable in  $\mathbf{PA} + \operatorname{Con}_g(\mathbf{PA})$  nor  $\mathbf{PA} + \operatorname{Con}_g(\mathbf{PA})$  interpretable in  $\mathbf{PA} + \operatorname{Con}_f(\mathbf{PA})$ .

**Proof**: This follows from Theorem 2.14 and Theorem 2.1.

#### 2.1 A natural Orey sentence

A sentence  $\varphi$  of **PA** is called an **Orey sentence** if both **PA**+ $\varphi \triangleleft$  **PA** and **PA**+ $\neg \varphi \triangleleft$  **PA** hold.

**Corollary 2.16** The sentence  $\exists x (F_{\varepsilon_0}(x) \uparrow \land \forall y < x F_{\varepsilon_0}(y) \downarrow \land x \text{ is even}) \text{ is an Orey sentence.}$ 

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**Proof**: Let  $\psi$  be the foregoing sentence. In view of Theorem 2.1, it suffices to show that  $\mathbf{PA} \vdash \operatorname{Con}(\mathbf{PA} \upharpoonright_k + \psi)$  and  $\mathbf{PA} \vdash \operatorname{Con}(\mathbf{PA} \upharpoonright_k + \neg \psi)$  hold for all k. Fix k > 0.

First we show that  $\mathbf{PA} \vdash \operatorname{Con}(\mathbf{PA} \upharpoonright_k + \psi)$ . Note that  $\mathbf{PA}$  proves the consistency of  $\mathbf{PA} \upharpoonright_k + \forall x F_{\omega_{k+1}}(x) \downarrow + \exists x F_{\varepsilon_0}(x) \uparrow$ . Arguing in  $\mathbf{PA}$  we thus find a non-standard model  $\mathfrak{N}$  such that

$$\mathfrak{N} \models \mathbf{PA} \upharpoonright_k + \forall x \, F_{\omega_{k+1}}(x) \downarrow + \exists x F_{\varepsilon_0}(x) \uparrow .$$

In particular there exists a least  $a \in |\mathfrak{N}|$  in the sense of  $\mathfrak{N}$  such that  $\mathfrak{N} \models F_{\varepsilon_0}(a) \uparrow$ . If  $\mathfrak{N}$  thinks that a is even, then  $\mathfrak{N} \models \psi$ , which entails that  $\operatorname{Con}(\mathbf{PA} \upharpoonright_k + \psi)$ . If  $\mathfrak{N}$  thinks that a is odd, we define a cut  $\mathfrak{I}$  such that  $\mathfrak{I} \models \mathbf{PA} \upharpoonright_k$  and  $F_{\varepsilon_0}^{\mathfrak{N}}(a-2) < \mathfrak{I} < F_{\varepsilon_0}^{\mathfrak{N}}(a-1)$ , applying Theorem 2.7. Then  $\mathfrak{I} \models \psi$  which also entails  $\operatorname{Con}(\mathbf{PA} \upharpoonright_k + \psi)$ .

Next we show that  $\mathbf{PA} \vdash \operatorname{Con}(\mathbf{PA} \upharpoonright_k + \neg \psi)$ . As  $\mathbf{PA}$  proves  $\operatorname{Con}(\mathbf{PA} \upharpoonright_k + \forall x F_{\omega_{k+1}}(x) \downarrow)$ , we can argue in  $\mathbf{PA}$  and assume that we have a model  $\mathfrak{M} \models \mathbf{PA} \upharpoonright_k + \forall x F_{\omega_{k+1}}(x) \downarrow$ . If  $\mathfrak{M} \models \forall x F_{\varepsilon_0}(x) \downarrow$  then  $\mathfrak{M} \models \neg \psi$ , and  $\operatorname{Con}(\mathbf{PA} \upharpoonright_k + \neg \psi)$  follows. Otherwise there is a least *a* in the sense of  $\mathfrak{M}$  such that  $F_{\varepsilon_0}^{\mathfrak{M}}(a) \uparrow$ . If  $\mathfrak{M}$  thinks that *a* is odd we have  $\mathfrak{M} \models \neg \psi$ , too. If  $\mathfrak{M}$  thinks that *a* is even we introduce a cut  $F_{\varepsilon_0}^{\mathfrak{M}}(a-2) < \mathfrak{I}' < F_{\varepsilon_0}^{\mathfrak{M}}(a-1)$  such that  $\mathfrak{I}' \models \mathbf{PA} \upharpoonright_k$ . Since  $\mathfrak{I}' \models F_{\varepsilon_0}(a-1) \uparrow$  we have  $\mathfrak{I}' \models \neg \psi$ , whence  $\operatorname{Con}(\mathbf{PA} \upharpoonright_k + \neg \psi)$ .  $\Box$ 

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